



RECORD OF VESSELS

**RESOLUTION 19/04**

CONCERNING THE IOTC RECORD OF VESSELS AUTHORISED TO OPERATE IN THE IOTC AREA OF COMPETENCE

APPLICABLE TO

- CPC FLAG STATE
- CPC COASTAL STATE
- CPC PORT STATE
- CPC MARKET STATE

This is one of the core Resolutions underlying IOTC compliance requirements. Unless fishing vessels are registered on the IOTC Record of Authorised Vessels (RAV), they are deemed not to be authorised to fish for, retain on board, tranship or land tuna and tuna-like species, or support any fishing activity or set drifting fish aggregation devices in the IOTC area. The RAV allows rapid identification of fishing vessels authorised by their flag States to operate in the IOTC area.

“Fishing vessels” include auxiliary, supply and support vessels 24m overall or above and those less than 24m that are operating outside the EEZ of their flag State.

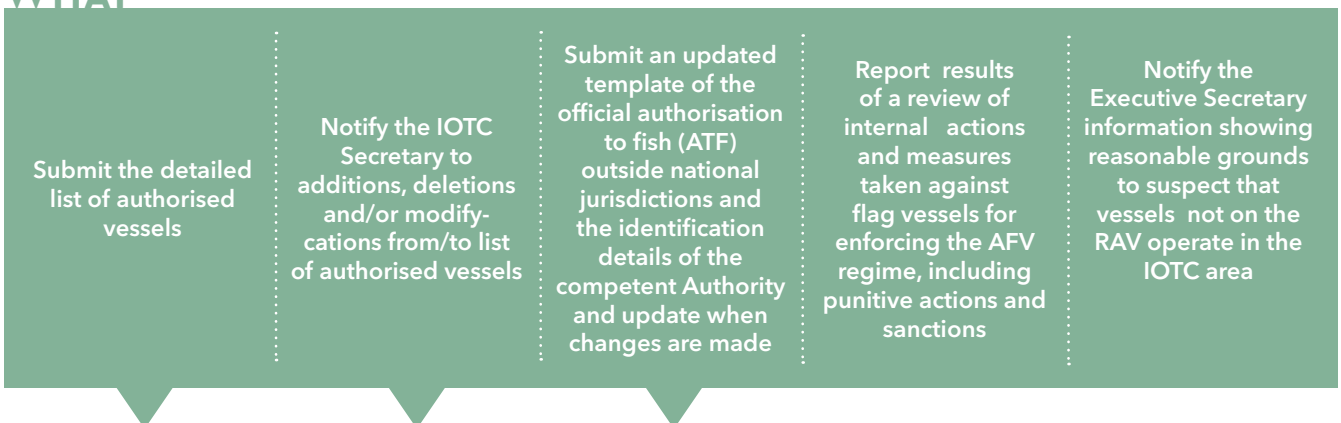
**REPORTING REQUIREMENTS**

Most of the reporting requirements in this Resolution are directed at flag States, but reporting requirements are designated for all States in relation to the suspected fishing or transhipment operations by vessels that are not on the RAV.

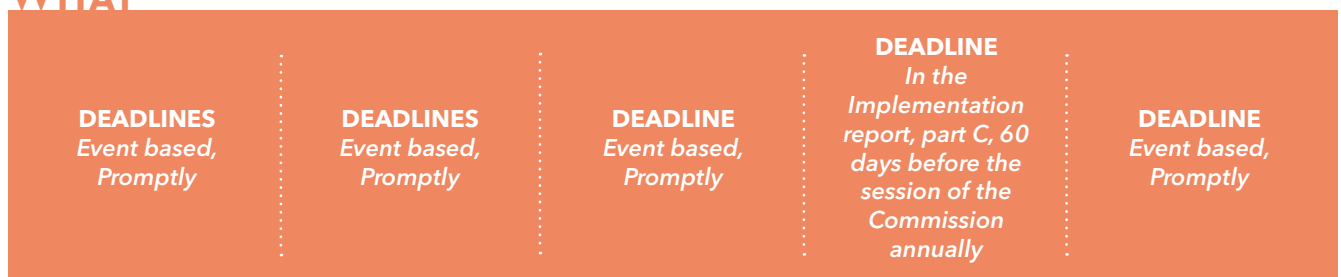
**WHO**



**WHAT**



**WHAT**



# REPORTING REQUIREMENTS: FORMAT AND CONTENT

Reporting is required to ensure proper operation of the RAV and the enforcement of the authorised fishing vessel (AFV) regime. Reporting requirement 4 below is event-based, and is only triggered in the case of suspicion of operations by vessels not on the RAV.



## REPORTING REQUIREMENT 1: SUBMIT THE LIST OF AUTHORISED VESSELS TO THE IOTC SECRETARY (PARA 3)

### IMPLEMENTATION

- How to report: A template form for the list of authorised vessels has been developed by the Secretariat. It serves to register new vessels onto the list, and also to effect modifications and deletions. Vessel details as provided for in the regulation are reflected in the form. Guidelines for filling, which are part of the template, should be followed. For new CPCs submitting their list for the first time, all entries must be tagged as "additions".
- Reporting format: The template form can be downloaded from the following link: <http://www.iotc.org/compliance/reporting-templates>
- Where to send the information: The report should be mailed to [iotc-secretariat@fao.org](mailto:iotc-secretariat@fao.org)

## REPORTING REQUIREMENT 2: NOTIFY THE IOTC SECRETARY TO ADDITIONS, DELETIONS AND/OR MODIFICATIONS FROM/TO LIST OF AUTHORISED VESSELS (PARA 9)

### IMPLEMENTATION

- How to report: The same template form as above is used. The nature of reporting is indicated (addition, deletion, modification). Modifications of existing records are to be highlighted in red font.
- Reporting format: The template form can be downloaded from the following link: <http://www.iotc.org/compliance/reporting-templates>
- Where to send the information: The report should be mailed to [iotc-secretariat@fao.org](mailto:iotc-secretariat@fao.org)

## REPORTING REQUIREMENT 3: SUBMIT THE TEMPLATE OF THE OFFICIAL AUTHORISATION TO FISH (ATF) OUTSIDE NATIONAL JURISDICTIONS AND THE IDENTIFICATION DETAILS OF THE COMPETENT AUTHORITY (PARA 6)

### IMPLEMENTATION

- How to report: A template form for report the details of the competent authority has been developed by the Secretariat. Guidelines for filling, which are part of the template, should be followed.
- Reporting format: The template form can be downloaded from the following link: <http://www.iotc.org/compliance/reporting-templates>
- Where to send the information: The report, together with the template ATF should be mailed to [iotc-secretariat@fao.org](mailto:iotc-secretariat@fao.org)

#### REPORTING REQUIREMENT 4:

REPORT RESULTS OF A REVIEW OF INTERNAL ACTIONS AND MEASURES TAKEN AGAINST FLAG VESSELS FOR ENFORCING THE AFV REGIME, INCLUDING PUNITIVE ACTIONS AND SANCTIONS (PARA 12)

##### IMPLEMENTATION

- How to report: The report must be made in the annual Report of Implementation, and include:  
a) review of internal actions/measures taken to subject national fleets to AFV regime, and; b) sanctions levelled against national operators that have violated the terms of the regime.
- Reporting format: The template for the report is at <https://www.iotc.org/compliance/reporting-templates>.
- Where to send the information: The report should be mailed to [iotc-secretariat@fao.org](mailto:iotc-secretariat@fao.org)

#### REPORTING REQUIREMENT 5:

NOTIFY INFORMATION SHOWING REASONABLE GROUNDS TO SUSPECT THAT VESSELS NOT ON THE RAV OPERATE IN THE IOTC AREA (PARA 14)

##### IMPLEMENTATION

- How to report: There is no set template to report. Reports should contain relevant details of an occurrence, and should contain annexes providing relevant evidence that has been collected, and which serves to substantiate the claim.
- Reporting format: National report - ideally in MS Word or pdf file format.
- Where to send the information: The report should be mailed to [iotc-secretariat@fao.org](mailto:iotc-secretariat@fao.org)

## TRANSPOSITION INTO NATIONAL LAW

### PROPOSED LEGISLATIVE FRAMEWORK

#### TO DEFINE

For the purposes of these sections, "Fishing vessels" include auxiliary, supply and support vessels 24 meters length overall or above and those less than 24 meters that are operating outside the EEZ of their flag State.

#### TO REQUIRE AUTHORISED FISHING VESSELS TO KEEP ON BOARD VALID DOCUMENTATION, INCLUDING CERTIFICATES OF REGISTRATION AND AUTHORISATION TO FISH AND/OR TRANSHIP (PARAS 11, 17)

- 1) The operator of a [country] fishing vessel that is authorised to operate in the IOTC Area of Competence shall, at all times:
  - a. keep on board the vessel a valid and applicable:
    - i. certificate of vessel registration;
    - ii. license, permit or authorisation to fish and/or tranship issued and certified by [the competent authority], including all terms and conditions;
    - iii. documentation issued and certified by [the competent authority] which may be required by IOTC from time to time, including the:
      1. vessel name;
      2. port in which vessel is registered and registration number(s);
      3. international call sign;
      4. names and addresses of owners and any relevant charterer;
      5. overall length; and
      6. engine power, in KW/horsepower, where appropriate
  - b. ensure that any modification to the documents and to the information referred to in (1) a is certified by [the competent authority]

#### TO REQUIRE MARKING OF FISHING VESSELS (PARAS 18)

- 2) The operator of a [country] fishing vessel that is authorised to operate in the IOTC area of competence shall, at all times, ensure that the vessel is marked in such a way, consistent with generally accepted standards such as the FAO Standard Specification for the Marking and Identification of Fishing Vessels, that it can be readily identified.

#### TO REQUIRE MARKING OF FISHING GEAR (PARA 19)

- 3) The operator of a [country] fishing vessel that is authorised to operate in the IOTC area of competence shall, at all times ensure that the gear used by the vessel is marked as may be required from time to time by IOTC, and shall comply with the following requirements:
- the ends of nets, lines and gear in the sea shall be fitted with flag or radar reflector buoys by day and light buoys by night sufficient to indicate their position and extent;
  - marker buoys and similar objects floating and on the surface, and intended to indicate the location of fixed fishing gear, shall be clearly marked at all times with the letter(s) and/or number(s) of the vessel to which they belong; and
  - fish aggregating devices shall be clearly marked at all times with the letter(s) and/or number(s) of the vessel to which they belong.

#### TO REQUIRE VESSELS TO CARRY ONBOARD BOUND FISHING NATIONAL LOGBOOKS (PARA 20)

- 4) The operator of a [country] fishing vessel that is authorised to operate in the IOTC area of competence shall at all times:
- keep on board a bound fishing national logbook onboard with consecutively numbered pages and make such entries and recordings in such logbook as may be required; and
  - ensure that the original entries in such fishing logbooks shall be kept on board for a period of at least 12 months.

## COOPERATION REQUIREMENTS

None in this Resolution.

## OBLIGATIONS OF THE INDUSTRY

None in this Resolution.

## OBLIGATIONS OF THE SECRETARIAT

The Executive Secretary is required to maintain and publish the IOTC RAV, accommodating confidentiality requirements of CPCs.

The Executive Secretary must, upon notification of reasonable grounds for suspecting that a vessel not on the RAV is engaged in fishing or transshipping tuna or tuna-like species in the IOTC area, where the vessel is flagged to a:

- CPC, request that Party to take measures necessary to prevent the vessel from fishing for tuna and tuna-like species in the IOTC area of competence;
- non-CPC or if the flag cannot be determined, compile and circulate information to all CPCs.

## OBLIGATIONS OF THE COMMISSION & BODIES

The Commission and the CPCs concerned must communicate with each other and make the best effort with FAO and other relevant regional fishery management bodies to develop and implement measures to avoid adverse effects upon the tuna resources in other oceans.



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