



Guide to IOTC data and information reporting requirements for Members and Cooperating Non-contracting Parties

Please *NOTE*: the exact requirements are described in the resolutions which are available from <http://www.iotc.org>.

All data should be emailed to secretariat@iotc.org or faxed (+248 4224364) and clearly marked as to which resolution they pertain to.

Where it is mentioned “A report template exists” it can be downloaded at <http://www.iotc.org/compliance/reporting-templates>

Reporting by month (Year 2017):

January	February	March	April	May	June
July	August	September	October	November	December

[Reports to submit with the Implementation Report \(IOTC Agreement – Article X\)](#)

[Reports to submit to the Secretariat at any time.](#)

February 2017

By 15 February, 2017:

Resolution 10/08 *Concerning a Record of Active Vessels Fishing for Tunas and Swordfish in the IOTC Area*

All IOTC Members and Cooperating non-Contracting Parties (CPCs) with vessels fishing for tunas and swordfish in the IOTC Area of Competence, shall submit to the Secretary by 15 February every year a list of their respective vessels that were active in the Area during the previous year and that are:

- larger than 24 metres in length overall, or
- in case of vessels less than 24m, those operating in waters outside the economic exclusive zone of the flag State.

A report template exists

Resolution 14/05 *Concerning A Record Of Licensed Foreign Vessels Fishing For IOTC Species In The IOTC Area Of Competence And Access Agreement Information*

All CPCs which issue licenses to foreign flag vessels to fish in their EEZ for species managed by the IOTC in the IOTC Area of Competence, shall:

- Submit to the Secretary a list of all foreign flag vessels to which such licenses have been issued during the previous year.

A report template exists

March 2017

By 07 March, 2017:

Resolution 11/03 *On Establishing A List Of Vessels Presumed To Have Carried Out Illegal, Unregulated And Unreported Fishing In The IOTC Area*

CPCs shall transmit every year to the Secretary a list of the vessels presumed to have been carrying out IUU fishing activities in the IOTC Area of competence during the current and previous year, accompanied by evidence supporting the presumption of IUU fishing activity.

A report template exists

April 2017

By 1 April, 2017:

Resolution 01/06 *Concerning the IOTC bigeye tuna statistical document programme*

For CPCs that import bigeye tuna, import data for the period 1 July – 31 December of the previous year.

A report template exists

June 2017

By 30 June, 2017:

Resolution 05/05 *Concerning the conservation of sharks caught in association with fisheries managed by IOTC*

CPCs shall annually report data for catches of sharks, in accordance with IOTC data reporting procedures, including available historical data.

Resolution 15/03 *On establishing a vessel monitoring system (VMS) programme*

Each CPC shall provide to the IOTC Secretariat, by 30 June each year, a report on the progress and implementation of its VMS programme.

A report template exists

Resolution 15/02 *Mandatory statistical requirements for IOTC Members*

- Catch, effort and size frequency data from surface fleets authorized to operate in the IOTC Area of Competence and fleets operating in the coastal zones for the previous year.
- Provisional catch, effort and size frequency data from longline fleets authorized to operate in the IOTC Area of Competence for the previous year.
- Catch, effort and size frequency data from artisanal, small scale and sports fisheries.
- Longline fleets shall provide provisional data for the previous year no later than 30 June.
- All other fleets (including supply vessels) shall submit their final data for the previous year no later than 30 June.

For additional information, consult the Guidelines for the reporting of Fisheries Statistics to the IOTC
<http://www.iotc.org/data-and-statistics>

Resolution 12/06 *On reducing incidental bycatch of seabirds in longline fisheries*

CPCs shall record data on seabird incidental bycatch by species and report these annually, notably through scientific observers or, where the provisions of the IOTC Regional Observer Scheme have not been fully implemented, through logbooks.

Resolution 12/04 *On marine turtles*

CPCs shall collect (including through logbooks and observer programs) and provide to the IOTC Secretariat no later than 30 June of the following year in accordance with Resolution 15/02 (or any subsequent revision), all data

June 2017

on their vessels' interactions with marine turtles. The data shall include the level of logbook or observer coverage and an estimation of total mortality of marine turtles incidentally caught in their fisheries.

Resolution 15/01 *On The Recording Of Catch And Effort By Fishing Vessels In The IOTC Area Of Competence*

The flag State and the States which receive logbook data provided by the fishing masters shall provide all the data for any given year to the IOTC Secretariat and the Scientific Committee by June 30th of the following year on an aggregated basis.

For additional information, consult the Guidelines for the reporting of Fisheries Statistics to the IOTC
<http://www.iotc.org/data-and-statistics>

Resolution 13/04 *On the conservation of cetaceans*

CPCs shall report the information and data collected under paragraph 3(b) and paragraph 4, through logbooks, or when an observer is onboard through observer programs, and provide to the IOTC Secretariat by 30 June of the following year and according to the timelines specified in Resolution 15/02 (or any subsequent revision).

Resolution 13/05 *On the conservation of whale sharks (Rhincodon typus)*

CPCs shall report the information and data collected under paragraph 3(b) and paragraph 4, through logbooks, or when an observer is onboard through observer programs, and provide to the IOTC Secretariat by 30 June of the following year and according to the timelines specified in Resolution 15/02 (or any subsequent revision).

Resolution 15/05 *On conservation measures for striped marlin, black marlin and blue marlin*

CPCs, in particular those employing gillnet fisheries, for which very few data exists on catch and effort, length frequencies and bycatch/discards, shall collect and report such data to the IOTC Secretariat.

Resolution 15/08 *Procedures on a fish aggregating devices (FADs) management plan, including more detailed specifications of catch reporting from fad sets, and the development of improved FAD designs to reduce the incidence of entanglement of non-target species*

Starting in 2016, CPCs shall submit the data elements prescribed in **Annex I** and **Annex II** to the Commission, consistent with the IOTC standards for the provision of catch and effort data, and these data shall be made available for analysis to the IOTC Scientific Committee on the aggregation level set by [Resolution 15/02](#) (or any subsequent superseding Resolution), and under the confidentiality rules set by [Resolution 12/02](#) (or any subsequent superseding Resolution).

July 2017

By 1 July, 2017:

Resolution 05/03 *Relating to the establishment of an IOTC programme of inspection in port*

Each CPC shall submit electronically to the IOTC Executive Secretary by 1 July of each year, the list of foreign fishing vessels which have landed in their ports tuna and tuna-like species caught in the IOTC Area in the preceding year. This information shall detail the catch composition by weight and species landed.

A report template exists

September 2017

By 14 September, 2017:

Resolution 14/06 *On establishing a programme for transshipment by large-scale fishing vessels*

- The quantities of species transhipped during the previous year.
- The list of LSTV's registered in the IOTC Record of which have transhipped during the previous year.
- A comprehensive report assessing the content and conclusions of the reports from the observers assigned to the carrier vessels which have received transhipments from your LSTLV's.

A report template exists

October 2017

By 1 October, 2017:

Resolution 01/06 *Concerning the IOTC bigeye tuna statistical document programme*

For CPC's that imports bigeye tuna, the import data for the period 1 January – 30 June of the current year.

A report template exists

December 2017

By 30 December, 2017:

Resolution 15/02 *Mandatory statistical requirements for IOTC Members*

Final catch, effort and size frequency data from longline fleets authorized to operate in the IOTC area of competence for the previous year.

The following information must be sent to the Secretariat when providing the Report of Implementation.

Implementation Report due by 17 March 2017

By 17 March, 2017:

IOTC Agreement Article X *Report of Implementation*

An annual statement of the actions taken with respect to IOTC conservation and management measures since the previous meeting.

A report template exists

All reporting requirements listed below, due by 17 March 2017, shall be reported in the Report of Implementation. When a template report exists, submit it together with the implementation report.

Resolution 16/01 *On an interim plan for rebuilding the Indian ocean Yellowfin tuna stock in the IOTC area of competence*

Flag States will determine appropriate methods for achieving these catch reductions, which could include capacity reductions, effort limits, *etc.*, and will report to the IOTC Secretariat in their Implementation Report, the measures they have taken.

Resolution 16/06 *On measures applicable in case of non-fulfilment of reporting obligations in the IOTC*

CPCs shall include information in their Annual Reports (*Report of Implementation*) on actions taken to implement their reporting obligations for all IOTC fisheries, including shark species caught in association with IOTC fisheries, in particular the steps taken to improve their data collection for direct and incidental catches.

Resolution 01/06 *Concerning the IOTC bigeye tuna statistical document programme*

CPCs which export bigeye tuna shall examine export data upon receiving the import data from the Secretary, and report the results to the Commission annually.

A report template exists

Recommendation 05/07 *Concerning a management standard for the tuna fishing vessels*

The CPC flag States which issues licenses to their AFVs should report annually to the Commission all measures taken to meet the minimum management standard when they issue fishing licenses to their “authorised fishing vessels”.

Resolution 10/10 *Concerning market related measures*

For CPCs that import tuna and tuna-like fish products, or in whose ports those products are landed or transhipped, should report, a range of information (e.g. information on vessels / owners, product data (species, weight), point of export) annually.

A report template exists

Resolution 11/04 *On a Regional observer scheme*

CPCs shall provide to the Executive Secretary and the Scientific Committee annually a report of the number of vessels monitored and the coverage achieved by gear type in accordance with the provisions of this Resolution.

Resolution 12/04 *On marine turtles*

CPCs shall report to the Commission, in accordance with Article X of the IOTC Agreement, their progress of implementation of the FAO Guidelines and this Resolution.

A report template exists

Implementation Report due by 17 March 2017

Resolution 12/06 *On Reducing the Incidental Bycatch of Seabirds in Longline Fisheries*

CPCs shall provide to the Commission, as part of their annual reports, information on how they are implementing this measure and all available information on interactions with seabirds, including bycatch by fishing vessels carrying their flag or authorised to fish by them. This is to include details of species where available to enable the Scientific Committee to annually estimate seabird mortality in all fisheries within the IOTC Area of Competence.

Resolution 14/06 *On establishing a programme for transshipment by large-scale fishing vessels*

In-port transshipment by LSTV - Each flag CPC of the LSTV shall include in its annual report each year to IOTC the details on the transshipments by its vessels.

A report template exists

Resolution 12/12 *To Prohibit the use of large-scale driftnets on the high seas in the IOTC Area*

CPCs shall include in their Annual Reports a summary of monitoring, control, and surveillance actions related to large-scale driftnet fishing on the high seas in the IOTC Area of Competence.

Resolution 13/04 *On the conservation of cetaceans*

CPCs shall report, in accordance with Article X of the IOTC Agreement, any instances in which cetaceans have been encircled by the purse seine nets of their flagged vessels.

Resolution 13/05 *On the conservation of whale sharks (*Rhincodon typus*)*

CPCs shall report, in accordance with Article X of the IOTC Agreement, any instances in which cetaceans have been encircled by the purse seine nets of their flagged vessels.

Resolution 15/04 *Concerning the IOTC record of vessels authorised to operate in the IOTC Area of Competence* Reporting on IMO number:

To allow the necessary time for CPCs to obtain an IMO number for eligible vessels that do not already have one, paragraph 2.b on IMO number is effective as of 1 January 2016. As of this date, CPCs shall ensure that all their fishing vessels that are registered on the IOTC Record of fishing vessels have IMO numbers issued to them. Paragraph 2.b on IMO number does not apply to vessels which are not eligible to receive IMO numbers.

In assessing compliance with the paragraph above, the Commission shall take into account exceptional circumstances in which a vessel owner is not able to obtain an IMO number despite following the appropriate procedures. **Flag CPCs shall report any such exceptional situations** to the IOTC Secretariat.

Resolution 15/08 *Procedures on a fish aggregating devices (FADs) management plan, including more detailed specifications of catch reporting from fad sets, and the development of improved FAD designs to reduce the incidence of entanglement of non-target species*

From 2015 on, CPCs shall submit to the Commission, 60 days before the Annual Meeting, a report on the progress of the management plans of FADs, including reviews of the initially submitted Management Plans, and including reviews of the application of the principles set out in **Annex III**.

The following information may be sent to the Secretariat at any time.

Resolution 16/11 *On Port state measures to prevent, deter and eliminate illegal, unreported and unregulated fishing*

- Port State CPCs that have denied a foreign fishing vessel entry into its port shall communicate its decision to the IOTC secretariat.
- Port State CPCs that have denied a foreign fishing vessel the use of the port for landing, transshipping, packaging and processing of fish that have not been previously landed and for other port services shall promptly notify IOTC Secretariat.
- Port State CPCs that have withdrawn its denial, shall promptly notify the IOTC Secretariat.
- Where, following an inspection, there are clear grounds for believing that a vessel has engaged in IUU fishing or fishing related activities the Port State CPCs shall promptly notify the IOTC Secretariat.
- Port State CPCs shall, within three full working days of the completion of an inspection, transmit by electronic means a copy of the inspection report and, upon request, an original or a certified copy thereof, the IOTC Secretariat.

Resolution 11/03 *On establishing a list of vessels presumed to have carried out illegal, unregulated and unreported fishing in the IOTC area.*

- CPCs and non-Contracting Parties may at any time submit to the Secretary any additional information, which might be relevant to the establishment of the IUU Vessels List. The Secretariat shall circulate the information before the annual meeting to CPCs concerned, together with all the evidence provided.
- CPCs and non-Contracting Parties to transmit any comments to the Secretary at least 30 days before the next Commission Meeting.

Resolution 11/04 *On a regional observer scheme*

- The CPCs shall send within 150 days at the latest each report, as far as continuous flow of report from observer placed on the longline fleet is ensured, which is recommended to be provided with 1°x1° format to the Executive Secretary, who shall make the report available to the Scientific Committee upon request.

Resolution 14/05 *Concerning A Record Of Licensed Foreign Vessels Fishing For IOTC Species In The IOTC Area Of Competence And Access Agreement Information*

- For Government to Government Access Agreement: when an access agreement is modified in a manner that changes any of the information specified in paragraph 3, these changes shall be promptly notified to the Commission.
- When a coastal State fishing license is modified in a manner that changes the template, any of the information provided in it or the information provided in a) to e) of paragraph 7, these changes shall be promptly notified to the IOTC Executive Secretary.
- The CPCs shall notify the ship owner and flag State concerning foreign flagged fishing vessels that requested a license under a private access agreement or under a government to government access agreement and for which the request of license was denied. If the reason for denial is related to an infringement of IOTC legislation, the IOTC Compliance Committee shall address the issue at the next session accordingly.

Resolution 14/06 *On establishing a programme for transshipment by large-scale fishing vessels*

- Any changes to the list of carrier vessels that are authorised to receive at-sea transshipments from your LSTLV's in the IOTC Area.
- Masters of receiving carrier vessels to transmit to the Secretariat the IOTC transshipment declaration, along with its number in the IOTC Record of Carrier Vessels within 24 hours of the completion of the transshipment.

Resolution 15/01 *On The Recording Of Catch And Effort By Fishing Vessels In The IOTC Area Of Competence*

- If changes are made to the official logbook template after 15 February 2016, an updated template shall be submitted.

Resolution 15/04 *Concerning the establishment of an IOTC record of vessels authorised to operate in the IOTC Area (note similar requirements in Recommendation 05/07 Concerning a management standard for tuna fishing vessels).*

- Provide any amendments to the list of vessels authorised to operate in the IOTC area.
- Provide factual information when there are reasonable grounds for suspecting that an AFV not on the IOTC List of Authorised Vessels is engaged in fishing or transshipment of tuna or tuna-like species in the IOTC Area.
- The internal actions and measures and punitive actions taken in accordance with this resolution.
- All CPCs which issue authorisation to fish to their flag vessels to fish for species managed by the IOTC shall submit and update this information whenever this information changes.