

# IMPLEMENTATION SHEET IUU FISHING ACTIVITY

ENTRY IN FORCE  
20 JULY 2011



## RESOLUTION 11/03

ON ESTABLISHING A LIST OF VESSELS PRESUMED TO HAVE CARRIED OUT ILLEGAL, UNREPORTED AND UNREGULATED FISHING IN THE IOTC AREA OF COMPETENCE

APPLICABLE TO



## REPORTING REQUIREMENTS

There are reporting requirements under this resolution which apply to all States. These requirements apply only if the information to be provided exists.

### REQUIREMENTS WITH RESPECT TO THIRD PARTY IUU-LISTED VESSELS

#### WHO

CPC ALL STATES

#### WHAT

Submit to the Executive Secretary a list of vessels presumed to have carried out IUU fishing

Submit additional information on the provisional IUU list circulated by the Secretary (optional)

Submit additional information in case Commission could not decide on listing a vessel (optional)

Notify Secretary on whether the CPC supports a proposed listing or delisting, or not

#### WHEN

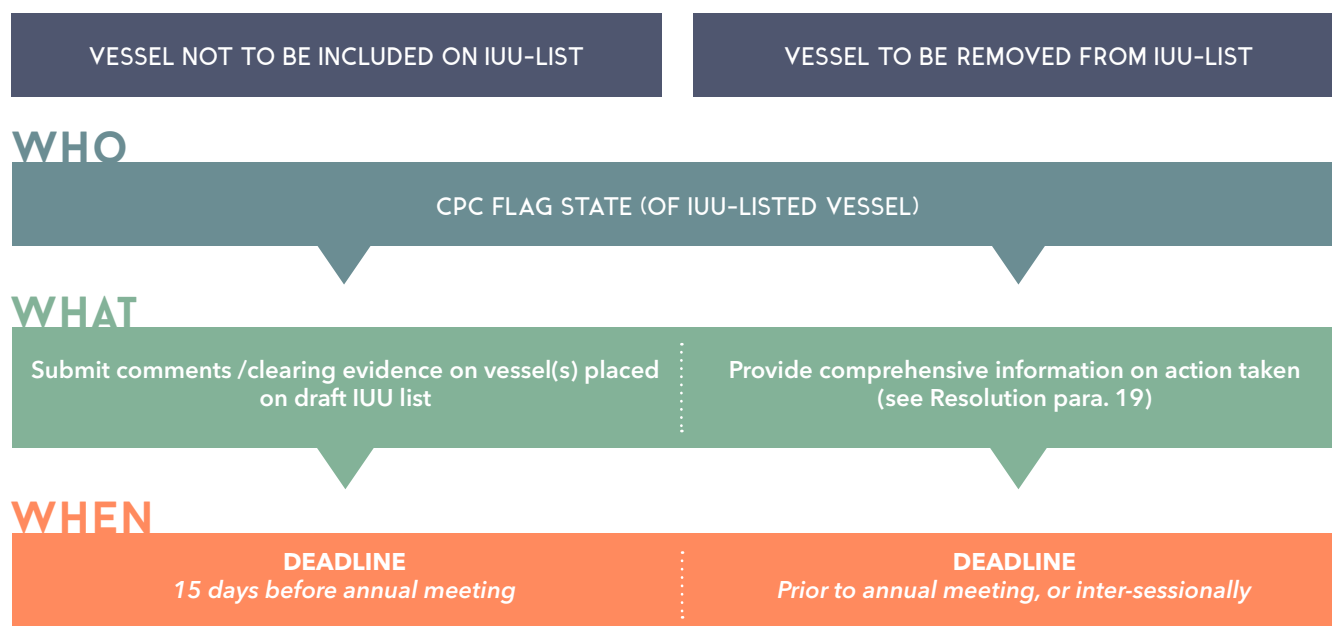
**DEADLINE**  
70 days before annual meeting

**DEADLINE**  
none specified

**DEADLINE**  
within 90 days following meeting

**DEADLINE**  
within 30 days of receiving proposal

For flag States that wish to avoid a listing of one of its vessels, or that wish to undertake action to have one of those vessels listed removed from the IUU vessel list, the following reporting requirements apply:



## REPORTING REQUIREMENTS: FORMAT AND CONTENT

A reporting template form has been developed by the Secretariat for CPCs to submit information to the Commission under this resolution. The template is based on the annexes of the Resolution. The form template covers the reporting illegal activity, making sure details of vessels to be listed are complete. This template can be downloaded from the IOTC website under this link: <http://www.iotc.org/compliance/reporting-templates>.

## IDENTIFYING AND LISTING IUU VESSELS

### REPORTING REQUIREMENT 1:

#### SUBMIT A LIST OF VESSELS PRESUMED TO HAVE CARRIED OUT IUU FISHING

##### IMPLEMENTATION

- How to report: All CPCs may submit evidence suggesting that specific vessels have engaged in IUU fishing. Such evidence may be submitted at any time during the year, in specified format: in order to be considered by the annual Commission meeting, the evidence has got to be provided 70 days in advance of the meeting.
- Reporting format: The template form, which is based on Annex I of the resolution, can be downloaded from the following link: <http://www.iotc.org/compliance/reporting-templates>
- Where to send the information: The report should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)

## REPORTING REQUIREMENT 2:

### NOTIFY SECRETARY ON WHETHER THE CPC SUPPORTS A PROPOSED LISTING OR NOT

#### IMPLEMENTATION

- How to report: All CPCs are requested to express their vote, inter-sessionally, when a decision was not arrived at during the Commission meeting, and when more evidence was submitted, or in case a flag State asks to have a vessel removed from the list inter-sessionally. After receiving more evidence through the Secretariat, the CPC is asked to consider the evidence and to vote on whether to list the vessel or not.
- Reporting format: Simple official letter, expressing a clear “yes” or “no” vote on whether to list the vessel.
- Where to send the information: The letter should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)



## CLEARING VESSELS FROM THE IUU-VESSEL LIST

### REPORTING REQUIREMENT 3:

#### SUBMIT COMMENTS /CLEARING EVIDENCE ON VESSEL(S) PLACED ON DRAFT IUU LIST

#### IMPLEMENTATION

- How to report: Flag States whose vessels appear on the list have an opportunity to submit evidence that their vessel had no involvement in the alleged offence(s), following the circulation of the draft IUU list. Evidence and comments should be submitted in a relevant form that is susceptible of proving that the alleged offence could not or did not take place. No specific format is provided.
- Reporting format: An official letter containing the comments and narrative with respect to evidence presented, which should be annexed to the letter.
- Where to send the information: The letter should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)

### REPORTING REQUIREMENT 4:

#### PROVIDE COMPREHENSIVE INFORMATION ON ACTION TAKEN

#### IMPLEMENTATION

- How to report: To clear a vessel from the list, or for delisting a vessel, flag States are to submit encompassing evidence of action taken with respect to the vessel, which includes: a) measures to ensure vessel conforms with IOTC CMMs; b) measures to subject the vessel to appropriate MCS mechanisms; c) adequate sanctions imposed for the established offences, and; d) change of vessel ownership. While there is no set format to report this information, the four items listed (as per paragraph 19 of the resolution) should be adequately covered.
- Reporting format: An official letter covering all relevant items as listed above, complete with relevant evidence, which should be annexed to the letter.
- Where to send the information: The letter should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)



## COOPERATION REQUIREMENTS

There is none in this resolution.

## OBLIGATIONS OF THE INDUSTRY

There is none in this resolution.

## OBLIGATIONS OF THE SECRETARIAT

The IOTC Secretariat plays a central role in the programme as a facilitator of information flows between parties submitting information on IUU activity, the Compliance Committee, and those flag State CPCs (and non-Contracting Parties) that wish to clear their vessel's names or delist their vessel. The IOTC Secretariat shall:

- Submit draft IUU vessel list with evidence to flag States whose vessels have been identified,
- Submit provisional IUU vessel list to CPCs and concerned non-Contracting Parties,
- Organize inter-sessional votes on adoption/ rejection of vessel additions to/deletions from IUU vessel list.

The Executive Secretary shall publish the IUU Vessel List in accordance with confidentiality policies and processes, place the list on the IOTC website (<http://www.iotc.org/vessels>), and transmit the list to other RFMOs for enhanced cooperation between IOTC and these organisations.

## OBLIGATIONS OF THE COMMISSION & BODIES

The Commission is responsible for the listing or delisting of vessels from the IUU Vessel list.



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