

# IMPLEMENTATION SHEET RECORD OF VESSELS

ENTRY IN FORCE  
10 SEPT. 2015



## RESOLUTION 15/04

### CONCERNING THE ESTABLISHMENT OF AN IOTC RECORD OF VESSELS AUTHORIZED TO OPERATE IN THE IOTC AREA

APPLICABLE TO



## REPORTING REQUIREMENTS

This Resolution has superseded Resolutions 14/04, 13/02, 07/02 & 01/02, 05/02, and 02/05. This is one of the core resolutions for IOTC compliance as it lays the foundation to identify the vessels greater than 24m and those less than 24 m that are authorized by their respective flag States to fish for tuna and tuna-like species.

This resolution establishes a number of reporting requirements, most of which fall to the flag State under its obligations to implement the regime. Reporting requirements falling to all States relate to the enforcement side of the regime.

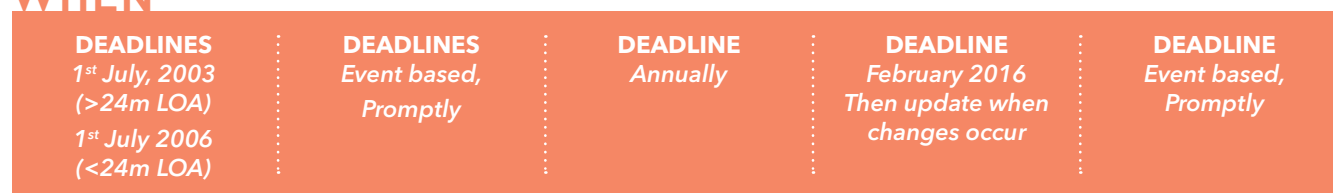
### WHO



### WHAT

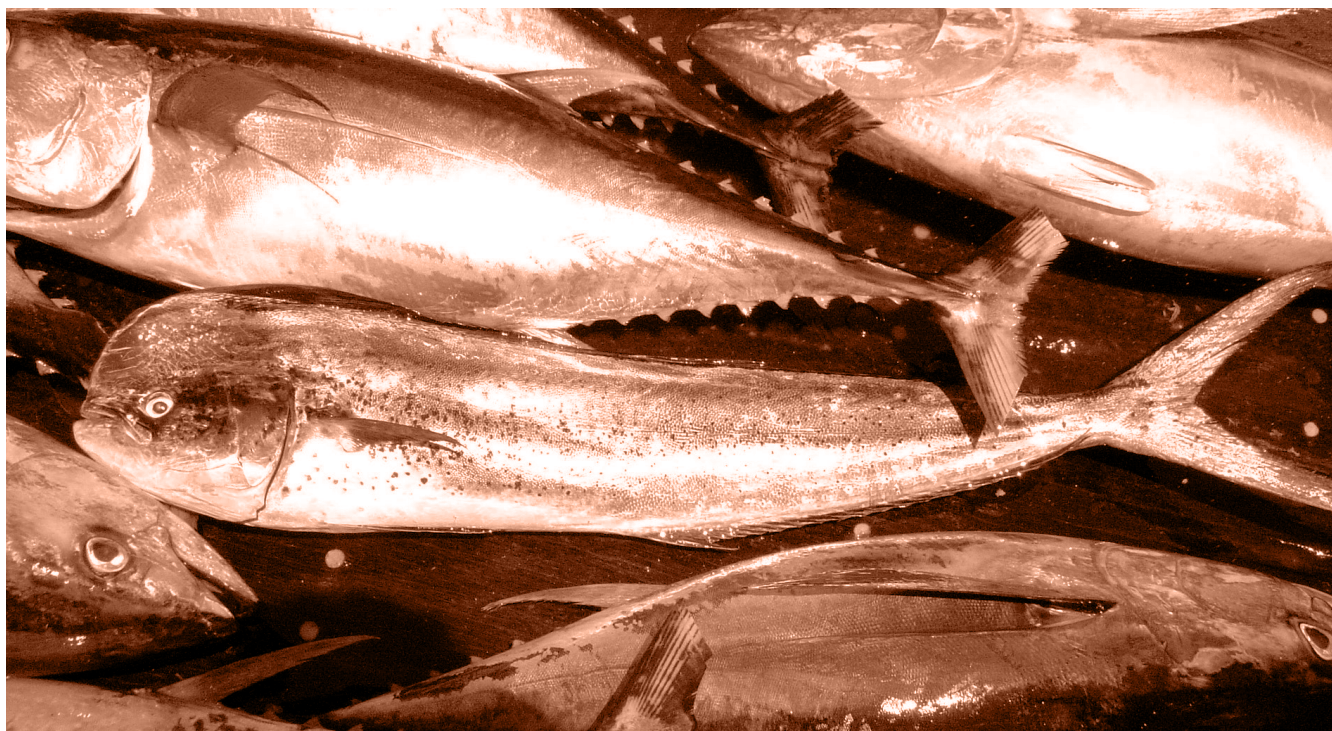


### WHEN



# REPORTING REQUIREMENTS: FORMAT AND CONTENT

Reporting covers the operation of the record of authorised vessels, and the enforcement of the AFV regime. Reporting requirement 4 below is event-based, and is only triggered in the case of a detection of illegal activity.



## OPERATING THE RECORD OF AFVS

### REPORTING REQUIREMENT 1:

SUBMIT THE LIST OF AUTHORIZED VESSELS TO THE EXECUTIVE SECRETARY

#### IMPLEMENTATION

- How to report: A template form for the list of authorized vessels has been developed by the Secretariat. It serves to register new vessels onto the list, and also to effect modifications and deletions. Vessel details as provided for in the regulation are reflected in the form. Guidelines for filling, which are part of the template, should be followed. For new contracting members, raising their list for the first time, all entries would be tagged as “additions”.
- Reporting format: The template form can be downloaded from the following link:  
<http://www.iotc.org/compliance/reporting-templates>
- Where to send the information: The report should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)

### REPORTING REQUIREMENT 2:

NOTIFY THE EXECUTIVE SECRETARY TO ADDITIONS, DELETIONS AND/OR MODIFICATIONS FROM/  
TO LIST OF AUTHORIZED VESSELS

#### IMPLEMENTATION

- How to report: The same template form as above is used. The nature of reporting is indicated (addition, deletion, modification). Modifications of existing records are to be highlighted in red font.
- Reporting format: The template form can be downloaded from the following link:  
<http://www.iotc.org/compliance/reporting-templates>
- Where to send the information: The report should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)

# ISSUING AUTHORISATION TO FISH OUTSIDE NATIONAL JURISDICTIONS

## REPORTING REQUIREMENT 3:

SUBMIT THE TEMPLATE OF THE OFFICIAL AUTHORISATION TO FISH (ATF) OUTSIDE NATIONAL JURISDICTIONS AND THE IDENTIFICATION DETAILS OF THE COMPETENT AUTHORITY

### IMPLEMENTATION

- How to report: A template form for reporting the details of the competent authority has been developed by the Secretariat. Guidelines for completing, which are part of the template, should be followed.
- Reporting format: The template form can be downloaded from the following link: <http://www.iotc.org/compliance/reporting-templates>
- Where to send the information: The report, together with the template ATF should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)



# ENFORCING THE REGIME

## REPORTING REQUIREMENT 4:

REPORT RESULTS OF INTERNAL ACTIONS AND MEASURES TAKEN WITH RESPECT TO PUTTING IN PLACE AND ENFORCING THE AFV REGIME

### IMPLEMENTATION

- How to report: There is no set template to report, and CPCs are encouraged to submit this report in the form they deem fit, ensuring to cover the following items: a) review of internal actions/ measures taken to subject national fleets to AFV regime, and; b) sanctions levelled against national operators that have violated the terms of the regime.
- Reporting format: National report – ideally in MS Word or pdf file format.
- Where to send the information: The report should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)

## REPORTING REQUIREMENT 5:

NOTIFY THE EXECUTIVE SECRETARY OF INFORMATION SHOWING THAT NON-AUTHORIZED FISHING VESSELS OPERATE IN THE IOTC AREA OF COMPETENCE

### IMPLEMENTATION

- How to report: There is no set template to report, and CPCs are encouraged to submit this report in the form they deem fit, ensuring to cover the following items: a) review of internal actions/ measures taken to subject national fleets to AFV regime, and; b) sanctions levelled against national operators that have violated the terms of the regime.
- Reporting format: National report – ideally in MS Word or pdf file format.
- Where to send the information: The report should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)





## COOPERATION REQUIREMENTS

There is none in this resolution.

## OBLIGATIONS OF THE INDUSTRY

There is none in this resolution.

## OBLIGATIONS OF THE SECRETARIAT

The Executive Secretary is mandated to maintain and publish the IOTC Record accommodating confidentiality requirements of CPCs, and also to take appropriate action on notification of reasonable grounds for suspecting that a vessel of a CPC or Non-CPC is engaged in fishing or transshipping in the IOTC Area of Competence.

The Executive Secretary shall publish the information received with respect to paragraph 3 in a secure part on the IOTC website for MCS purpose, <http://www.iotc.org/compliance/authorizations-templates-samples>

## OBLIGATIONS OF THE COMMISSION & BODIES

The CPCs, through the Commission, shall communicate with each other and FAO to avoid adverse effects upon the tuna resources in other oceans.



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