



## RESOLUTION 14/06

### ON ESTABLISHING A PROGRAMME FOR TRANSHIPMENT BY LARGE-SCALE FISHING VESSELS

APPLICABLE TO



## REPORTING REQUIREMENTS

This resolution supersedes Resolutions 12/05, 11/05, 08/02 & 06/02. Its intent is to eliminate IUU fishing and the laundering of illegal tuna into market streams by monitoring transshipments, based on notifications, authorizations and data transmissions. There are several reporting requirements under this resolution which apply to flag State CPC.

### WHO

CPC FLAG STATE

### WHAT

Submit to IOTC the list of carrier vessels authorized to receive at-sea transshipments

Notify the Secretariat of any changes to list of authorized carrier vessels

Submit to IOTC a report on observer conclusions for LSTLVs having transhipped

Report to IOTC on quantities and list of LSTLVs that have transhipped during previous year

Report to IOTC the details on the transshipment by flag vessels in foreign ports

### WHEN

**DEADLINES**  
1<sup>st</sup> July 2008

**DEADLINES**  
Promptly, when changes occur

**DEADLINE**  
Annually, before 15<sup>th</sup> September

**DEADLINE**  
Annually, before 15<sup>th</sup> September

**DEADLINE**  
Annually, 60 days before Session of the Commission

# REPORTING REQUIREMENTS: FORMAT AND CONTENT

Several reporting template forms have been developed by the Secretariat for CPCs to submit information to the Commission under this resolution. Form templates concerning reporting on at sea transshipments cover the authorised list of carrier vessels, the records of quantities and LSTLVs that have transhipped in the previous year, and the summary of observer programme conclusions on the activities of LSTLVs. Form templates concerning in port transshipments cover the detail on the transshipments conducted by flag vessel in foreign ports. These can be downloaded from the IOTC website at the following page: <http://www.iotc.org/compliance/reporting-templates>



## REPORTING ON AT SEA TRANSHIPMENTS

### REPORTING REQUIREMENT 1:

SUBMIT TO IOTC THE LIST OF CARRIER VESSELS AUTHORIZED TO RECEIVE AT-SEA TRANSHIPMENTS

#### IMPLEMENTATION

- How to report: CPCs are required to notify to the Secretariat the list of authorised carrier vessels. A template, which prescribes the format of information to be submitted has been developed by the Secretariat, and should be followed. It contains a guide on how to fill in, and which information to provide.
- Reporting format: The template form can be downloaded from the following link: <http://www.iotc.org/compliance/reporting-templates>
- Where to send the information: The report should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)

### REPORTING REQUIREMENT 2:

NOTIFY THE SECRETARIAT OF ANY CHANGES TO LIST OF AUTHORIZED CARRIER VESSELS

#### IMPLEMENTATION

- How to report: The CPC should inform of any changes to list of authorized carrier vessels. The same form as above is used, and the nature of the reporting is indicated in the appropriate field (deletion/addition/modification).
- Reporting format: The template form can be downloaded from the following link: <http://www.iotc.org/compliance/reporting-templates>
- Where to send the information: The report should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)

### REPORTING REQUIREMENT 3:

#### SUBMIT TO IOTC A REPORT ON OBSERVER CONCLUSIONS FOR LSTLVs HAVING TRANSHIPPED

##### IMPLEMENTATION

- How to report: This report is to be submitted by the flag State of its LSTLVs that have transhipped in the previous year. It is based on the observer reports having been submitted to it by the Executive Secretary. A template for this report has been developed by the Secretariat. CPCs should follow the reporting outline proposed in the template.
- Reporting format: The template form can be downloaded from the following link: <http://www.iotc.org/compliance/reporting-templates>
- Where to send the information: The report should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)

### REPORTING REQUIREMENT 4:

#### REPORT TO IOTC ON QUANTITIES AND LIST OF LSTLVs THAT HAVE TRANSHIPPED DURING PREVIOUS YEAR

##### IMPLEMENTATION

- How to report: A template for this report has been developed by the Secretariat. CPCs should follow the reporting outline proposed in the template. The template provides one sheet for the quantities transhipped by species – on a transshipment basis – and it provides one sheet to provide a summary of all the LSTLVs that have transhipped under its jurisdiction.
- Reporting format: The template form can be downloaded from the following link: <http://www.iotc.org/compliance/reporting-templates>
- Where to send the information: The report should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)



## REPORTING ON TRANSHIPMENTS IN PORT

### REPORTING REQUIREMENT 5:

#### REPORT TO IOTC THE DETAILS ON THE TRANSSHIPMENT BY FLAG VESSELS IN FOREIGN PORTS

##### IMPLEMENTATION

- How to report: A template for this report has been developed by the Secretariat. CPCs should follow the reporting outline proposed in the template. The template provides one sheet to detail all the LSTLVs that have transhipped including quantity by species.
- Reporting format: The template form can be downloaded from the following link: <http://www.iotc.org/compliance/reporting-templates>
- Where to send the information: The report should be mailed to [secretariat@iotc.org](mailto:secretariat@iotc.org)



## COOPERATION REQUIREMENTS

Port States and coastal States, when verifying landings of transhipped catches, or transshipments in their EEZs, shall cooperate with flag States of LSTLVs to ensure that quantities landed match original quantities declared (see port State actions above).

## OBLIGATIONS OF THE INDUSTRY

Industry actors, and most specifically the operators of fishing and carrier vessels (masters), must implement the applicable provisions of the resolution. There are various reporting requirements by the Masters of either, or both the LSTLV and the carrier vessel to their flag States, the port State and coastal State as appropriate, both prior to, and after the landing or transshipment (paragraphs 12, 13, 15, 16 and Annex I, II and III apply). Although these reporting requirements are not detailed herein, the CPC flag States of the LSTLV and the carrier vessel are responsible for compliance with this Resolution, therefore they and the Masters should be familiar with the details of these reporting requirements.

The requirement for all carrier vessels authorised to receive transshipments to carry a VMS is addressed in Paragraph 9.

The requirement for carriage of an observer under the Regional Observer Programme on all authorised carrier vessels is addressed under paragraph 17 with the details of observer duties and reporting addressed under Annex III.

## OBLIGATIONS OF THE SECRETARIAT

The IOTC Secretariat plays a central role in the programme as a facilitator of information flows, especially with respect to the flow of information emanating from the observer programme. The IOTC Executive Secretary also bears the responsibility for maintaining the record of carrier vessels authorised to receive transshipments and publishing the Record by posting it on the IOTC Website, accommodating the confidentiality requirements (paragraph 8).

## OBLIGATIONS OF THE COMMISSION & BODIES

The Compliance Committee shall review the response to the possible infractions.



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