| **N°** | **Source** | **Information required** | **Status**  **Content** | **Result of assessment**  Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available. | **Observations**  Note: Observations mentioned below are not exhaustiveand are only example, other observations can apply depending of the context and information available. | **Year reporting on/Year assessed** |
| --- | --- | --- | --- | --- | --- | --- |
| 1. **Implementation obligations** | | | | | | | |
|  | Art. X Agreement | Report of Implementation (IR) | C | IR received and all sections completed | Received [Date] | **2019** |
| P/C | IR received, at least one section not completed | Received [Date], X section(s) not completed |
| N/C | No IR received | No IR received |
| N/A | *IR mandatory for all CPCs.* |  |
|  | Rules of Procedure. (4.1) | Compliance Questionnaire (CQ) | C | CQ received and all section(s)/question(s) completed | Received [Date] | **2019** |
| P/C | CQ received, at least one section/question not completed | Received [Date], X section(s)/question(s) not completed |
| N/C | No CQ received | No CQ received |
| N/A | *CQ mandatory for all CPCs.* |  |
|  | SC04 (111) | National Scientific Report (NR) | C | NR received and all sections completed | Received [Date] | **2019** |
| P/C | NR received, at least one section not completed | Received [Date], X section(s) not completed |
| N/C | No NR received | No NR received |
| N/A | *NR mandatory for all CPCs.* |  |
|  | Commission (S17 p 52) | Feedback letter (FL) | C | FL received and all issues responded | Received [Date] | **2019** |
| P/C | FL received, incomplete response. | Received [Date], X issue(s) not responded. |
| N/C | No FL received. | No FL received. |
| N/A | The CPC was not issued a FL, a response is not required. | No feedback letter was issued. |
| 1. **Management Standards** | | | | | | | |
|  | Res. 19/04 (17.c) | Documents listed in this resolution on board  Documents = Certificates of vessel registration & valid authorisation to fish and/or tranship | C | Information provided in IR/CQ including reference to national legislation. | Legal Reference: Act/regulation/decree XX. | **2019** |
| P/C | Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated documents are on board/No legal reference provided/Conflicting information IR/CQ. Information from other reporting requirement(s) indicate some vessels have no documents onboard (e.g. ROP/Port inspection) |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC has no vessel on the Record of authorised vessels | Does not have vessels on the IOTC Record of authorised vessels. |
|  | Res. 19/04 (18) | Marking of vessels  (p. 18)  FAO Standard | C | Information provided in IR/CQ including reference to national legislation. | Legal Reference: Act/regulation/decree XX. | **2019** |
| P/C | Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated vessels are marked/No legal reference provided/Conflicting information IR/CQ/Information from other reporting requirement(s) indicate some vessels are not marked (e.g. ROP/Port inspection) |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC has no vessel on the Record of authorised vessels | Does not have vessels on the IOTC Record of authorised vessels. |
|  | Res. 19/04 (19) | Marking of gears | C | Information provided in IR/CQ including reference to national legislation. | Legal Reference: Act/regulation/decree XX. | **2019** |
| P/C | Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated gears are marked/No legal reference provided/Conflicting information IR/CQ/ Information from other reporting requirement(s) indicate some gears are not marked (e.g. ROP/Port inspection) |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC has no LL/GN vessel on the Record of authorised vessels. | No LL/GN on the Record of authorised vessels. |
|  | Res. 19/04 (20) | Logbook on board | C | Information provided in IR/CQ including reference to national legislation. | Legal Reference: Act/regulation/decree XX. | **2019** |
| P/C | Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated logbook are on-board/No legal reference provided/Conflicting information IR/CQ/ Information from other reporting requirement(s) indicate logbooks are not on board (e.g. ROP/Port inspection) |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC has no vessel on the Record of authorised vessels. | Does not have vessel on the IOTC Record of authorised vessels. |
|  | Res. 19/04 (6) | Official authorisation to fish outside National Jurisdictions (ATF)  Mandatory information: Template ATF and Competent Authority information: name, name & signature of the personnel; official stamp | C | Template ATF and Competent Authority information: name, name & signature of personnel; official stamp provided | Received [Date]  or  Updated[Date] | **2019** |
| P/C | One of the following information was not provided: Template ATF, Competent Authority information: name, name & signature of personnel; official stamp | Received [Date], Missing [Template ATF, Competent Authority information: name, name of personnel; signature of the personnel; official stamp]  and/or  Information from other reporting requirement(s) indicate ATF not onboard or not matching mandatory information provided (e.g. ROP/Port inspection). |
| N/C | Template ATF and Competent Authority information: name, name of contact; signature of the personnel; official stamp not provided | No information provided. |
| N/A | CPC does have vessel on the Record of authorised vessels. | Does not have vessel on the IOTC Record of authorised vessels. |
|  | Res. 19/04 (3.c) | IMO number for eligible vessels  (p. 3.c) | C | Has provided IMO number for eligible vessels/Has informed on non-eligible vessels. | Has XX eligible vessels on the Record of authorised vessels and XX with IMO numbers. Has XX non-eligible vessels on the Record of authorised vessels and reported XX non-eligible vessels. | **1 Jan. 2017** |
| P/C | Has not provided information for all vessels on the Record of authorised vessels | Has XX vessels on the Record of authorised vessels and ZZ with IMO numbers/non-eligible vessels. |
| N/C | Has not provided IMO number/status of eligibility | No information provided. |
| N/A | CPC has no vessel on the Record of authorised vessels. | Does not have vessel on the IOTC Record of authorised vessels |
|  | Res. 15/01 (4) | Official fishing logbooks | C | Template of official fishing logbook provided.  Translation in English/French provided if logbook not in one of IOTC language. | Received [Date]  or  Updated[Date] | **2019** |
| P/C | Template of official fishing logbook provided.  Translation in English/French not provided if logbook not in one of IOTC languages.  Logbook not provided for all gear/vessel type on the Record of authorised vessels. | Received [Date],  and  Missing translation in [English/French]  and/or  Logbook for [PS/LL/GN/BB] provided but missing logbook for [PS/LL/GN/BB].  and/or  Information from other reporting requirement(s) indicate fishing logbook onboard is not matching the official fishing logbook provided (e.g. ROP/Port inspection) |
| N/C | Template of official fishing logbook not provided. | No information provided. |
| N/A | CPC has no vessel on the Record of authorised vessels. | Does not have vessel on the IOTC Record of authorised vessels. |
|  | Res. 17/07 (2) | Ban on large-scale driftnets | C | Information provided in IR/CQ including reference to national legislation. | Banned since [Date], Legal Reference: Act/regulation/decree XX. | **2019** |
| P/C | Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated 2.5 km driftnet are banned/No legal reference provided/Conflicting information IR/CQ/  Information from other reporting requirement(s) indicate Driftnets have been used on the high seas (e.g. ROP/Port inspection). |
| N/C | No information provided in IR/CQ. | No information provided. |
|  | Res. 19/02 (21) & 19/04 (19) | Marking of FADs | C | Information provided in IR/CQ including reference to national legislation. | Legal Reference: Act/regulation/decree XX. | **2019** |
| P/C | Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated FADs are marked/No legal reference provided/Conflicting information IR/CQ/ Information from other reporting requirement(s) indicate some FAD are not marked (e.g. ROP/Port inspection/Observer) |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC has no PS/SP vessel on the Record of authorised vessels. | No PS/SP on the Record of authorised vessels. |
|  | Res. 19/02 (12) | FADs management plan | C | Has PS on the Record of authorised vessels. FAD Plan received and information provided according to the guideline | Received [Date]  or  Updated[Date] | **2019** |
| P/C | Has PS on the Record of authorised vessels. FAD Plan received and information not provided according to the guideline and/or incompleted | Received or updated [Date]; Plan not provided at IOTC standard; XX sections not completed. |
| N/C | Has PS on the Record of authorised vessels, no FAD plan provided. | Has XX PS on the Record of authorised vessels; No information provided. |
| N/A | No PS on the Record of authorised vessels or FADs are not used or PS not active. | Does not have PS on the IOTC Record of authorised vessels, or fleet does not use FADs or PS not active in [YEARS. |
|  | Res. 19/02 (16) | Report of progress on implementation of FADs management plan | C | Has already submitted a FAD plan; has submitted the progress report | Received [Date] | **2019** |
| P/C | Has already submitted a FAD plan; has submitted the progress report but some sections of the plan not reviewed. | Received [Date]; XX sections of the plan not reviewed. |
| N/C | Has already submitted a FAD plan; has not submitted the progress report | No information provided. |
| N/A | No PS/fleet on the Record of authorised vessels, or FADs are not used or PS not active. | Does not have PS on the IOTC Record of authorised vessels, or fleet does not use FADs or PS not active in [YEARS. |
|  | Res. 16/07 (1 & 2) | Prohibition of surface or submerged artificial lights to attract fish | C | CPC has vessels operating beyond territorial waters. Information provided in IR/CQ including reference to national legislation. | Banned since [Date], Legal Reference: Act/regulation/decree XX. | **2019** |
| P/C | Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated artificial lights is banned/No legal reference provided/Conflicting information IR/CQ/  Information from other reporting requirement(s) indicate use of artificial lights (e.g. Port inspection). |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have vessel operating beyond territorial waters or CPC is not a coastal State and does not have vessel on the IOTC RAV. | Does not have vessel operating beyond territorial waters or is not a coastal State and no vessel on the IOTC |
|  | Res. 16/08 (1) | Prohibition of aircrafts and unmanned aerial vehicles. | C | CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ including reference to national legislation. | Banned since [Date], Legal Reference: Act/regulation/decree XX. | **2019** |
| P/C | CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated aircrafts and unmanned aerial vehicles is banned/No legal reference provided/Conflicting information IR/CQ/  Information from other reporting requirement(s) indicate use of aircrafts and unmanned aerial vehicles. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have vessels operating in the IOTC Area of Competence or CPC is not a coastal State. | Does not have vessel operating in the IOTC Area of Competence. |
|  | Res. 19/01 (12) | Report on methods for achieving the YFT catch reductions. | C | Catch reductions applies to CPC, Report provided and/or information provided in IR/CQ. | Report/information received [Date], Methods are [XXX]. | **2019** |
| P/C | Catch reductions applies to CPC, Report provided and/or Information provided in IR/CQ, conflicting information between IR & CQ. | Report/information received [Date], Methods are [XXX]/Conflicting information IR/CQ. |
| N/C | No report or information provided in IR/CQ. | No information provided. |
| N/A | Catch reductions does not apply to CPC. | Catch reductions does not apply to CPC. |
|  | Res. 19/01 (18) | Purse seiners served by supply vessel. | C | Has PS/ SP vessels on the Record of authorised vessels, Information provided and/or information provided in IR/CQ. | Report/information received [Date]. | **2019** |
| P/C | Has PS/SP vessels on the Record of authorised vessels, Information provided and/or Information provided in IR/CQ, conflicting information between IR & CQ. | Report/information received [Date], Conflicting information IR/CQ. |
| N/C | Has PS/SP vessels on the Record of authorised vessels, No report or information provided in IR/CQ. | Has XX PS and YY SP on the Record of authorised vessels, no information provided. |
| N/A | Has no PS/SP vessels on the Record of authorised vessels, does not apply to CPC | Does not have PS/SP vessels operating in the IOTC Area of Competence. |
|  | Res. 19/01 (18) | Plans for reducing the use of supply vessel. | C | Has PS/ SP vessels on the Record of authorised vessels, Plan provided and/or information provided in IR/CQ. | Plan received [Date]. | **2019** |
| P/C | Has PS/SP vessels on the Record of authorised vessels, Plan provided and/or Information provided in IR/CQ, conflicting information between IR & CQ. | Plan received [Date], Conflicting information IR/CQ. |
| N/C | Has PS/SP vessels on the Record of authorised vessels,No plan provided in IR/CQ. | Has XX PS and YY SP on the Record of authorised vessels, no information provided. |
| N/A | Has no PS/SP vessels on the Record of authorised vessels, does not apply to CPC | Does not have PS/SP vessels operating in the IOTC Area of Competence. |
|  | Res. 19/01 (5-15) | Nominal catch of YFT | C | For PS, CPC reduced catch by 15% from applicable level (2014/2015).  For LL/GN, CPC reduced catch by 10 % from applicable level (2014/2015).  For other gears, CPC reduced catch by 5% from applicable level (2014/2015).  CPC to which the quota is applicable, catch reduction reached for all gears. | Gear A : 2014 or 2015 catch: xxxx T; 2018 catch: xxxx T ; Reduction: xx%  Catch reduction(s) reached. | **2018** |
| P/C | For PS, CPC reduced catch by 15% from applicable level (2014/2015).  For LL/GN, CPC reduced catch by 10 % from applicable level (2014/2015).  For other gears, CPC reduced catch by 5% from applicable level (2014/2015).  CPC with several gears to which the quota is applicable, catch reduction not reached for at least one gear. | Gear A : 2014 or 2015 catch: xxxx T; 2018 catch: xxxx T ; Reduction: xx%  Gear B : 2014 or 2015 catch: xxxx T; 2018 catch: xxxx T ; Reduction: xx%  Catch reduction reached for gear B but not for gear A. |
| N/C | For PS, CPC reduced catch by 15% from applicable level (2014/2015).  For LL/GN, CPC reduced catch by 10 % from applicable level (2014/2015).  For other gears, CPC reduced catch by 5% from applicable level (2014/2015).  Catch reduction not reached for all gears to which the qouta is applicable | Gear A : 2014 or 2015 catch: xxxx T; 2018 catch: xxxx T ; Reduction: xx%  Gear B : 2014 or 2015 catch: xxxx T; 2018 catch: xxxx T ; Reduction: xx%  Catch reduction(s) not reached. |
| N/A | Catch reduction does not apply to CPC. | Catch reduction does not apply to CPC. |
|  | Res. 18/07 (1) | Report actions taken to implement reporting obligations & improve data collection of catches. | C | CPC reports catch data. Information on actions provided in IR/CQ. | Information received [Date] (CQ/IR)  Actions are: XXX | **2019** |
| P/C | CPC reports catch data. Information provided in IR/CQ, conflicting information between IR & CQ. | Information received [Date] (CQ/IR). conflicting information between IR & CQ.  Actions are: XXX |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have vessels operating in the IOTC Area of Competence | Does not have vessel operating in the IOTC Area of Competence |
|  | Res. 11/02 (6) | Reports of observations of damaged data buoys | C | CPC reports observation of damaged buoys. Information on actions provided in IR/CQ. | Information received [Date] (CQ/IR) | **2019** |
| P/C | CPC reports observation of damaged buoys. Information provided in IR/CQ, conflicting information between IR & CQ. | Information received [Date] (CQ/IR). conflicting information between IR & CQ. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have vessels operating in the IOTC Area of Competence | Does not have vessel operating in the IOTC Area of Competence |
|  | Res. 11/02 (2) | Prohibition from intentionally fishing within 1 nautical mile of or interacting with data buoy | C | CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ including reference to national legislation. | Banned since [Date], Legal Reference: Act/regulation/decree XX. | **2019** |
| P/C | CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated is banned/No legal reference provided/Conflicting information IR/CQ/  Information from other reporting requirement(s) indicate use fishing within 1 NM. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have vessels operating in the IOTC Area of Competence or CPC is not a coastal State. | Does not have vessel operating in the IOTC Area of Competence. |
|  | Res. 11/02 (3) | Prohibition from taking on board a data buoy | C | CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ including reference to national legislation. | Banned since [Date], Legal Reference: Act/regulation/decree XX. | **2019** |
| P/C | CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated is banned/No legal reference provided/Conflicting information IR/CQ/  Information from other reporting requirement(s) indicate data buoy has been taken onboard |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have vessels operating in the IOTC Area of Competence or CPC is not a coastal State. | Does not have vessel operating in the IOTC Area of Competence. |
|  | Res. 13/04 (2) | Prohibition of intentionally setting purse seine net around a cetacean | C | CPC has PS vessels operating in the IOTC Area of Competence. Information provided in IR/CQ including reference to national legislation. | Banned since [Date], Legal Reference: Act/regulation/decree XX. | **2019** |
| P/C | CPC has PS vessels operating in the IOTC Area of Competence. Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated is banned/No legal reference provided/Conflicting information IR/CQ/  Information from other reporting requirement(s) indicate setting around cetacean |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have vessels operating in the IOTC Area of Competence or CPC is not a coastal State. | Does not have PS vessel operating in the IOTC Area of Competence. |
|  | Res. 13/05 (2) | Prohibition of intentionnally setting purse seine net around a whale shark | C | CPC has PS vessels operating in the IOTC Area of Competence. Information provided in IR/CQ including reference to national legislation. | Banned since [Date], Legal Reference: Act/regulation/decree XX. | **2019** |
| P/C | CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated is banned/No legal reference provided/Conflicting information IR/CQ/  Information from other reporting requirement(s) indicate setting around whale shark. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have vessels operating in the IOTC Area of Competence or CPC is not a coastal State. | Does not have PS vessel operating in the IOTC Area of Competence. |
|  | Res. 19/03 (2) | Prohibition of intentionally setting any gear type on mobulid rays | C | CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ including reference to national legislation. | Banned since [Date], Legal Reference: Act/regulation/decree XX. | **2019** |
| P/C | CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated is banned/No legal reference provided/Conflicting information IR/CQ/  Information from other reporting requirement(s) indicate setting on mobulid rayz. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have vessels operating in the IOTC Area of Competence or CPC is not a coastal State. | Does not have vessel operating in the IOTC Area of Competence. |
| 1. **Reporting on Vessels** | | | | | | | |
|  | Res. 10/08 (1) | List of Active vessels | C | Has vessels on the Record of authorised vessels, List received and information provided at IOTC Standard. | Received [Date] | **2019** |
| P/C | Has vessels on the Record of authorised vessels, List received but information not provided at IOTC standard. | Received [Date]; Information not provided at IOTC standard; missing [e.g. IRCS; NRN]. |
| N/C | Has vessels on the Record of authorised vessels, No list received. | Has XX vessels on the Record of authorised vessels; no information provided |
| N/A | No vessel on the Record of authorised vessels. | Does not have vessel on the IOTC Record of authorised vessels. |
|  | Res. 19/07 (8) | Particulars of charter agreements, catches, effort, observer coverage (Chartering CP) | C | Particular of charter agrements has been provided wth information at IOTC standard. | Received [Date]; charter agreement with CPC A in [Year] | **2019** |
| P/C | Particular of charter agrements has been provided wth information not at IOTC standard. | Received [Date]; charter agreement with CPC A in [Year]; Information not provided at IOTC standard; missing [e.g. xx]. |
| N/C | Particular of charter agrements was not provided. | No particular of charter agrements provided. |
| N/A | The CPC has indicated that there is no charter agrements. | Has confirmed there is no charter agrements. |
|  | Res. 19/07 (4.1) | Information on the particulars of the charter agreements and detail of vessels (Chartering CP) | C | The chartering CP has provided all information before fishing activities started. | Received [Date]; charter agreement with CPC A in [Year] | **Since 1/12 2018** |
| P/C | The chartering CP has provided information before fishing activities started but some information missing or not at IOTC standard or after fishing activities started. | Received [Date]; charter agreement with CPC A in [Year]; Information not provided at IOTC standard; missing [e.g. xx]; Information provided after fishing activities started. |
| N/C | The chartering CP has not provided information. | No information provided. |
| N/A | The CPC has indicated that there is no charter agrements. | Has confirmed there is no charter agrements. |
|  | Res. 19/07 (4.2) | Consent, measures, agreement implementation of IOTC CMMs (Flag CPC) | C | The flag CPC has provided all information before fishing activities started. | Received [Date]; charter agreement with CPC A in [Year] | **Since 01/12 2018** |
| P/C | The flag CPC has provided information before fishing activities started but some information missing or not at IOTC standard or after fishing activities started. | Received [Date]; charter agreement with CPC A in [Year]; Information not provided at IOTC standard; missing [e.g. xx]; Information provided after fishing activities started. |
| N/C | The flag CPC has not provided information. | No information provided. |
| N/A | The CPC has indicated that there is no charter agrements. | Has confirmed there is no charter agrements. |
|  | Res. 19/04 (3) | List of Authorized vessels 24 metres in length overall or more | C | List has been provided with information at IOTC Standard. | Last update [Date] | **Since 01/03 2016** |
| P/C | List has been provided with information not at IOTC Standard. | Last update [Date]; Information not provided at IOTC standard; missing [e.g. owner]. |
| N/C | No list was provided. | List not provided. |
| N/A | No vessel > 24 operating in the Indian Ocean | No vessels of 24 metres in length overall or more in the IOTC RECORD OF AUTHORISED VESSELS. |
|  | Res. 19/04 (3) | List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state) | C | List has been provided with information at IOTC Standard. | Last update [Date] | **Since 01/03 2016** |
| P/C | Received [Date] | Last update [Date]; Information not provided at IOTC standard; missing [e.g. owner]. |
| N/C | No list was provided. | List not provided. |
| N/A | No vessel < 24 operating outside EEZ. No vessels in the Record of authorised vessels. | No vessel < 24 operating outside EEZ. No vessels in the IOTC Record of authorised vessels. |
|  | Res. 14/05 (1) | List of foreign vessels licensed in EEZ | C | Has licensed FFV, List has been provided with information at IOTC Standard | Received [Date]: has issued XX license in 2018. | **2019** |
| P/C | Has licensed FFV, List has been provided with information not at IOTC Standard or missing | Received [Date]: has issued XX license in 2018. Information not provided at IOTC standard; missing [e.g. GT]. |
| N/C | Has licensed FFV, list has not been provided | List not provided. |
| N/A | CPC does not license FFV | Does not license foreign fishing vessel/ not an IOTC Coastal State |
|  | Res. 14/05 (6) | List of foreign vessels denied a licence | C | Has licensed FFV, has or has not denied license and information was reported | Received [Date]; has denied XX license in 2018. | **2019** |
| P/C | Has denied licence to FFV. | Did not provide reason for denial of licence to FFV. XX FFV denied licence(s). |
| N/C | Has licensed FFV, no information reported on denial of license. | No information provided. |
| N/A | CPC does not license FFV or CPC is not an IOTC Coastal State | Does not license foreign fishing vessel / Not an IOTC Coastal State |
|  | Res. 14/05 (5) | Access Agreement information | C | Information on access agreement and copy of agreement provided | Received [Date]; CPC-CPC agreement with [Country code] | **2019** |
| P/C | Information on access agreement provided but copy of agreement not provided | Received [Date]; Missing copy of agreement with [Country code] |
| N/C | Has agreement with [Country Code]. CPCA has provided information or copy of agreement. Information on access agreement and copy of agreement provided. | No information/copy of agreement provided. [Country code] has reported to have agreement with CPC. |
| N/A | No foreign vessel are licensed or does not have CPC-CPC agreement. | Does not license foreign fishing vessel / Does not have CPC-CPC Agreement. |
|  | Res. 14/05 (7) | Official coastal State fishing License  Mandatory information: Template license and Competent Authority information: name, name of personnel; signature of the personnel; official stamp not provided | C | Template License and Competent Authority information: name, name of personnel; signature of the personnel; official stamp provided. | Received [Date].  or  Updated[Date]. | **2019** |
| P/C | One of the following information was not provided: Template License, Competent Authority information: name, name of personnel; signature of the personnel; official stamp | Received [Date], Missing [Template ATF, Competent Authority information: name, name of personnel; signature of the personnel; official stamp]  and/or  Information from other reporting requirement(s) indicate License not matching mandatory information provided (e.g. ROP/Port inspection). |
| N/C | Has licensed FFV, Template License and Competent Authority information: name, name of personnel; signature of the personnel; official stamp not provided. | No information provided. |
| N/A | CPC does not license FFV or CPC is not an IOTC Coastal State. | Does not license foreign fishing vessel / not an IOTC Coastal State. |
| 1. **Vessel Monitoring System** | | | | | | | |
|  | Res. 15/03 (1) | Adoption VMS for all vessels > 24 m and < 24 m fishing high seas | C | Has a satellite-based VMS. | VMS adopted in [YEAR]. Legal Reference: Act/regulation/decree XX | **2019** |
| P/C | Has indicated other tracking system adopted but not satellite-based VMS. Has adopted VMS but not all vessels covered. | Source [Report Name]. |
| N/C | No information provided on VMS adoption | No information provided. |
| N/A | No vessel on the Record of authorised vessels / Has only an artisanal fleet. | Does not have vessel on the Record of authorised vessels |
|  | Res. 15/03 (12) | VMS report on implementation and technical failures | C | Has provided the VMS report and full completion. | Received [Date]  Had XX active vessels in 2017, has reported XX vessels fitted with VMS in 2017. | **2018** |
| P/C | Has provided the VMS report but some section(s)/field(s) not completed. | Received [Date]; Missing information [XX].  Had XX active vessels in 2017, has reported YY vessels fitted with VMS in 2017. |
| N/C | Has not provided the VMS report. | Mandatory VMS report not provided. Had XX active vessels in 2017. |
| N/A | No vessel on the Record of authorised vessels / Has only an artisanal fleet. | Does not have vessel on the IOTC Record of authorised vessels. |
|  | Res. 15/03 (2) | VMS implementation plan | C | Has provided the VMS implementation plan. | Received [Date] | **2017** |
| P/C | Has provided the VMS report but incomplete, missing information on % of coverage, less than 50% coverage. | Received [Date]; Missing information [XX]. Less than 50 % coverage. |
| N/C | Has not provided the VMS plan, VMS not adopted. | No VMS, VMS not adopted and no implementation plan submitted. |
| N/A | VMS adopted [Date] and coverage 100% | VMS adopted [Date] and coverage 100% |
| 1. **Mandatory statistical requirement – Flag State CPCs** | | | | | | | |
|  |  | Nominal Catch | | | | | |
|  | Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22) | Coastal fisheries | C | Has provided NC by gears, by species. | Data received [Date]. | **2018** |
| P/C | Has provided NC but some gear missing/aggregated and/or some species aggregated. Has provided NC but fleet segments aggregated: coastal & surface; coastal & LL.  No data collection system in place. | Data received [Date], not at IOTC Standard [Description]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | CPC is not an IOTC Coastal State. | Not an IOTC Coastal State. |
|  | Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22) | Surface fisheries: PS, BB, GI | C | Has provided NC by gears, by species. | Data received [Date]. | **2018** |
| P/C | Has provided NC but some gear missing/aggregated and/or some species aggregated.  Has provided NC but fleet segments aggregated: coastal & surface; surface & LL | Data received [Date], not at IOTC Standard [Description]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | No PS, BB or GI vessels on the IOTC Record of authorised vessels or active | No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year] |
|  | Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22) | LL Provisional or Final | C | Has provided NC by species and by type of fisheries (Fresh & frozen) | Data received [Date]. | **2018** |
| P/C | Has provided NC but some species aggregated.  Has provided NC but fleet segments aggregated: coastal & LL; surface & LL  Has provided NC aggregated by type of fisheries (Fresh & frozen) | Data received [Date], not at IOTC Standard [Description]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | No LL vessel on the IOTC Record of authorised vessels or active. | No LL vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year] |
|  | Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22) | Report on zero catches matrix | C | Has provided NC including zero catches information. | Data received [Date]. | **2018** |
| P/C | Has provided NC including zero catches information, but some species and/or gears missing. | Data received [Date], not at IOTC Standard [missing species X, missing Gear Y]. |
| N/C | Has not provided NC including zero catches information. | Mandatory NC data not provided. |
| N/A | Zero catches matrix report mandatory for all CPCs. |  |
|  |  | Catch & Effort | | | | | |
|  | Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22) | Coastal fisheries | C | Has provided CE by gears, by species, by month | Data received [Date]. | **2018** |
| P/C | Has provided CE but some gears missing/aggregated and/or some species aggregated and/or not by month | Data received [Date], not at IOTC Standard [Description]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | CPC is not an IOTC Coastal State. | Not an IOTC Coastal State. |
|  | Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22) | Surface fisheries: PS, BB, GI | C | Has provided CE by gears, by species, by month, by grid | Data received [Date]. | **2018** |
| P/C | Has provided CE but some gears missing/aggregated and/or some species aggregated and/or not by month and/or not by grid | Data received [Date], not at IOTC Standard [Description]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | No PS, BB or GI vessel on the IOTC Record of authorised vessels or active | No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year] |
|  | Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22) | LL Provisional or Final | C | Has provided CE by species, by month, by grid, by type of fisheries (Fresh & frozen) | Data received [Date]. | **2018** |
| P/C | Has provided CE but some species aggregated and/or not by month and/or not by grid.  Has provided CE aggregated by type of fisheries (Fresh & frozen) | Data received [Date], not at IOTC Standard [Description]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | No LL vessel on the IOTC Record of authorised vessels or active | No LL vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year] |
|  |  | Size Frequency | | | | | |
|  | Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22) | Coastal fisheries | C | Has provided SF by gears, by species, by month, by size categories | Data received [Date]. | **2018** |
| P/C | Has provided SF but not for all gear, not by month, not by size categories and/or some species missing and less than 1 fish per MT measured. | Data received [Date], not at IOTC Standard [Less than 1 fish per MT]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | CPC is not an IOTC Coastal State. | Not an IOTC Coastal State. |
|  | Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22) | Surface fisheries: PS, BB, GI | C | Has provided SF by gears, by species, by month, by grid, by size categories | Data received [Date]. | **2018** |
| P/C | Has provided SF but not for all gear, not by month, not by size categories, not by grid, and/or some species missing and less than 1 fish per MT measured. | Data received [Date], not at IOTC Standard [Less than 1 fish per MT]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | No PS, BB or GI vessel on the IOTC Record of authorised vessels. | No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC RECORD OF AUTHORISED VESSELS or active in [year] |
|  | Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22) | LL Provisional/Final | C | Has provided SF by species, by month, by grid, by size categories, by type of fisheries | Data received [Date]. | **2018** |
| P/C | Has provided SF but not by month, not by size categories, not by grid and/or some species missing and less than 1 fish per MT measured and/or not by type of fisheries | Data received [Date], not at IOTC Standard [Less than 1 fish by MT]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | No LL vessel on the IOTC Record of authorised vessels or active. | No LL vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC RECORD OF AUTHORISED VESSELS or active in [year] |
|  |  | Fish Aggregating Devices (FAD) | | | | | |
|  | Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22) | Supply vessels | C | Has provided the number and characeristics of SV | Data received [Date]. | **2018** |
| P/C | Has not provided all information (the number and characeristics of SV) | Data received [Date], not at IOTC Standard [Description]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | CPC does not have supply vessels | No supply vessels on the Record of authorised vessels or active in 2017 |
| 5.12 | Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22) | Days at sea by supply vessels | C | Has provided effort of SV by month by grid | Data received [Date]. | **2018** |
| P/C | Has provided all information but not by month and/or by grid. | Data received [Date], not at IOTC Standard [Description]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | CPC does not have supply vessels on the Record of authorised vessels or active in [YEAR]. | No supply vessels on the Record of authorised vessels or active in 2017 |
| 5.13 | Res. 15/02 (p. 1 to 7), 18/05 (p. 8), 18/07 (p. 4) & 19/02 (p. 22) | FADs set by type | C | Has provided catch on FADs set by type, by grid, by month | Data received [Date]. | **2018** |
| P/C | Has provided catch on FADs set but not by type, not by grid, not by month | Data received [Date], not at IOTC Standard [Description]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | CPC does not have purse seiner and/or supply vessel. | No purse seiner and/or supply vessel on the IOTC Record of authorised vessels or active in [year] |
| 1. **Implementation of mitigation measures and bycatch of non-IOTC species** | | | | | | | |
|  | Res. 17/05 (6) | Submission of data regarding Sharks – Nominal catch | C | Has provided sharks NC by gears, by species. | Data received [Date]. | **2018** |
| P/C | Has provided sharks NC but some gear missing/aggregated and/or some species aggregated. | Data received [Date], not at IOTC Standard [Description]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. | Not an IOTC Coastal State and no vessel in the IOTC Record of authorised vessels. |
|  | Res. 17/05 (6) | Submission of data regarding Sharks – Catch & effort | C | Has provided sharks CE by gears, by species. | Data received [Date]. | **2018** |
| P/C | Has provided sharks CE but some gears missing/aggregated and/or some species aggregated. | Data received [Date], not at IOTC Standard [Description]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. | Not an IOTC Coastal State and no vessel in the IOTC Record of authorised vessels. |
|  | Res. 17/05 (6) | Submission of data regarding Sharks – Size frequency | C | Has provided sharks SF by gears, by species. | Data received [Date]. | **2018** |
| P/C | Has provided sharks SF but some species missing and less than 1 fish measured by MT. | Data received [Date], not at IOTC Standard [Less than 1 fish by MT]. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. | Not an IOTC Coastal State and no vessel in the IOTC Record of authorised vessels. |
|  | Res. 17/05 (3) | Prohibition on sharks finning | C | For shark landed fresh, CPC banned removal of fins on board since [YEAR]; Information provided in IR/CQ including reference to national legislation.  For shark landed frozen, CPC implement 5% ratio since [YEAR]; Information provided in IR/CQ including reference to national legislation. | Banned since [YEAR]; Legal Reference: Act/regulation/decree XX. | **Since 2017** |
| P/C | For shark landed fresh, CPC banned removal of fins on board since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.  For shark landed frozen, CPC implement 5% ratio since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC is not an IOTC Coastal State and no vessels in the IOTC RECORD OF AUTHORISED VESSELS. | CPC is not an IOTC Coastal State and no vessels in the IOTC RECORD OF AUTHORISED VESSELS. |
|  | Res. 12/09 (2) | Prohibition on thresher sharks of all the species of the family *Alopiidae* | C | Banned since [YEAR]; Information provided in IR/CQ including reference to national legislation. | Banned since [YEAR]; Legal Reference: Act/regulation/decree XX. | **Since 2010** |
| P/C | Banned since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. |
|  | Res. 13/06 (3) | Prohibition on oceanic whitetip sharks | C | Banned since [YEAR]; Information provided in IR/CQ including reference to national legislation. | Banned since [YEAR]; Legal Reference: Act/regulation/decree XX. | **Since 2013** |
| P/C | Banned since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. |
|  | Res. 19/03 (3) | Prohibition to retain onboard, tranship, land, store mobulid rays | C | Banned since [YEAR]; Information provided in IR/CQ including reference to national legislation. | Banned since [YEAR]; Legal Reference: Act/regulation/decree XX. | **Since 2019** |
| P/C | Banned since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. |
|  | Res. 19/03 (5, Annex 1) | Prohibition to gaff, lift by the gill slits/spiracles, punch holes through the bodies. Obligation to release alive, implementation of live release handling procedures of mobulid rays | C | Banned since [YEAR]; Information provided in IR/CQ including reference to national legislation. | Banned since [YEAR]; Legal Reference: Act/regulation/decree XX. | **Since 2019** |
| P/C | Banned since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. |
|  | Res. 12/04 (5) | Report on progress of implementation of the FAO Guidelines and this Resolution  (p. 5) | C | Has provided information on Status of implementation of FAO guidelines and this resolution. | Information on implementation of FAO guideline /this Resolution received [Date] | **2019** |
| P/C | Has provided partial information on Status of implementation of FAO guidelines and this resolution. | Partial information on implementation of FAO guidelines received [Date] |
| N/C | No information provided. | Mandatory report not provided |
| N/A | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. | Not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. |
|  | Res. 12/04 (3) | Data on interactions with marine turtles | C | Has provided information on interactions by species by gears or has reported no interaction has occured | Data received [Date]; Total of [XX] interactions reported in [YEAR]. | **2018** |
| P/C | Has provided information on interactions aggregated, not for all gears. | Data received [Date]; data not by species. |
| N/C | No information provided. | Mandatory data not provided |
| N/A | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. | Not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. |
|  | Res. 12/04 (8) | Carry line cutters and de-hookers on board (Longliners) | C | Obligation in place since [YEAR]; Information provided in IR/CQ including reference to national legislation. | Has indicated obligation is in place since [YEAR]; Legal Reference: Act/regulation/decree XX. | **Since 2009** |
| P/C | Obligation in place since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated obligation in place since [YEAR] / No legal reference provided/Conflicting information IR/CQ. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have LL on Record of authorised vessels or active | No LL operating in the Indian Ocean. |
|  | Res. 12/04 (9) | Carry dip nets (Purse seiners) | C | Obligation in place since [YEAR]; Information provided in IR/CQ including reference to national legislation. | Has indicated obligation is in place since [YEAR]; Legal Reference: Act/regulation/decree XX. | **Since 2009** |
| P/C | Obligation in place since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated obligation in place since [YEAR] / No legal reference provided/Conflicting information IR/CQ. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have PS in Record of authorised vessels or active | No PS operating in the Indian Ocean. |
|  | Res. 12/06 (1 & 2) | Seabirds report | C | Has provided information on interactions by species, or has reported no interaction has occurred. | Data received [Date]. Total of [XX] interactions reported in [YEAR]. | **2018** |
| P/C | Has provided information on interactions but aggregated, not by species. | Data received [Date]; data not by species. |
| N/C | No information provided | Mandatory data not provided |
| N/A | CPC does not have LL in Record of authorised vessels or active | No LL operating in the Indian Ocean. |
|  | Res. 12/06 (5) | Implementation of mitigation measures south of 25°S | C | Obligation in place since [YEAR]; Information provided in IR/CQ including reference to national legislation. | Has indicated obligation is in place since [YEAR]; Legal Reference: Act/regulation/decree XX. | **Since 2010** |
| P/C | Obligation in place since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated obligation in place since [YEAR] / No legal reference provided/Conflicting information IR/CQ. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have LL in Record of authorised vessels or active or LL operating south of 25°S | No LL operating in the Indian Ocean, operating south of 25°S. |
|  | Res. 13/04 (7) | Data on interactions with Cetaceans  (All gears) | C | Has provided interactions by gears by species, or has reported no interaction has occured | Data received [Date]. | **2018** |
| P/C | Has provided interactions but not by gears and/or by species | Data received [Date]; data not by species, not at IOTC standard. |
| N/C | Has not provided the data | No information provided. |
| N/A | CPC does not have vessels in Record of authorised vessels | No vessel on the Record of authorised vessels or active in 2017 |
|  | Res. 13/04 (8) | Instances of Cetaceans encircled  (PS only) | C | Has provided information on instances of cetaceans encircled by species | Data received [Date]. Total of [XX] instances reported in [YEAR]. | **2019** |
| P/C | Has provided information on instances of cetaceans encircled but aggregated, not by species. | Data received [Date]; data not by species. |
| N/C | No information provided. | Mandatory data not provided. |
| N/A | CPC does not have PS in Record of authorised vessels | No purse seiner on the Record of authorised vessels or active in 2017 |
|  | Res 13/05 (7) | Data on interactions with Whale Sharks  (All gears)  (p. 7) | C | Has provided interactions by gears, or has reported no interaction has occured | Data received [Date]. | **2018** |
| P/C | Has provided interactions but not by gears | Data received [Date]; data not at IOTC standard. |
| N/C | Has not provided the data. | No information provided. |
| N/A | CPC does not have vessels in Record of authorised vessels | No vessel on the Record of authorised vessels or active in 2014 |
|  | Res 13/05 (8) | Instances Whale Sharks encircled  (PS only)  (p. 8) | C | Has provided information on instances of Whale Sharks encircled | Data received [Date]. Total of [XX] instances reported in [YEAR]. | **2019** |
| P/C | Has provided information on instances of Whale Sharks encircled, some information missing. | Data received [Date]; data not at IOTC standard. |
| N/C | No information provided. | Mandatory data not provided |
| N/A | CPC does not have PS in Record of authorised vessels or active | No purse seiner on the Record of authorised vessels or active in 2017 |
|  | Res. 18/02 (4) | Information on actions taken domestically to monitor catches of Blue Shark | C | CPC reports shark catch data. Information on actions provided in IR/CQ. | Information received [Date] (CQ/IR)  Actions are: XXX | **2019** |
| P/C | CPC reports shark catch data. Information provided in IR/CQ, conflicting information between IR & CQ. | Information received [Date] (CQ/IR). conflicting information between IR & CQ.  Actions are: XXX |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have vessels operating in the IOTC Area of Competence | Does not have vessel operating in the IOTC Area of Competence |
|  | Res 18/05 (9) | Information on actions taken domestically to monitor catches & manage fisheries of Striped Marlin, Black Marlin, Blue Marlin and Indo-pacific Sailfish | C | CPC reports billfish catch data. Information on actions provided in IR/CQ. | Information received [Date] (CQ/IR)  Actions are: XXX | **2019** |
| P/C | CPC reports billfish catch data. Information provided in IR/CQ, conflicting information between IR & CQ. | Information received [Date] (CQ/IR). conflicting information between IR & CQ.  Actions are: XXX |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC does not have vessels operating in the IOTC Area of Competence | Does not have vessel operating in the IOTC Area of Competence |
|  | Res 18/05 (5) | Prohibition to retain on board, tranship, land, any Striped Marlin, Black Marlin, Blue Marlin, Indo-pacific Sailfish smaller than 60 cm Lower Jaw Fork Length | C | Banned since [YEAR]; Information provided in IR/CQ including reference to national legislation. | Banned since [YEAR]; Legal Reference: Act/regulation/decree XX. | **Since 2018** |
| P/C | Banned since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ. | Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ. |
| N/C | No information provided in IR/CQ. | No information provided. |
| N/A | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. | CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels. |
| 1. **Illegal, Unreported and Unregulated (IUU) Vessels** | | | | | | | |
|  | Res. 18/03 (5) | IUU listing  (at previous session) | C | CPC had no vessel listed at the previous session of the Commission | No vessel listed on IOTC IUU list in [Year] | **Listed in 2019** |
| P/C |  |  |
| N/C | CPC had vessel listed at the previous session of the Commission | Had [XX] vessels listed in [Year] |
| N/A |  |  |
|  | Res. 07/01 (1) | Compliance by nationals  (at previous session) | C | CPC had no nationals involved on vessels listed at the previous session of the Commission. | No nationals on board vessesl listed on IOTC IUU list in [Year]. | **Listed in 2019** |
| P/C |  |  |
| N/C | CPC had nationals involved on vessel listed at the previous session of the Commission. | Had [XX] nationals on vessels listed in [Year]. |
| N/A |  |  |
| 1. **Transhipments** | | | | | | | |
|  | Res. 19/06 (23) | At sea transhipments – CPC report  (p. 23)  Flag State report concerning information on TRX at sea under the ROP | C | Has provided the 2 mandatory reports (quantity transhipped by specie, list of LSTLVs and comments on the observer report). | Reports received [Date] | **2018** |
| P/C | Has provided only one of the 2 mandatory reports (quantity transhipped by species, list of LSTLVs and comments on the observer report).  Or  Quantity declared and/or list of LSTLVs not matching information in the ROP database | Report received [Date]; Report on [XX] missing.  Has reported total quantity transhipped [XX] T but ROP database records [YY] T.  Has reported [XX] LSTLVs but ROP database records [YY] LSTLVs |
| N/C | Has not provided the 2 mandatory reports (quantity transhipped by specie, list of LSTLVs and comments on the observer report). | Mandatory reports not provided. |
| N/A | CPC is not participating in the ROP. | Not participating in the IOTC ROP. |
|  | Res. 19/06 (Annexe 1, p. 6) | Transhipments in port report  (Annexe 1, p. 6)  Flag State report concerning information on TRX in foreign ports | C | Has provided the mandatory report: quantity transhipped by specie by LSTLVs). | Reports received [Date] | **2019** |
| P/C | Has provided the mandatory report but quantity transhipped is aggregated not by species, and/or aggregated by LSTLVs. | Report received [Date]; quantity not reported by species but aggregated and /or aggregated by LSTLVs.  Information from other reporting requirement(s) indicate some vessels have conducted TRX in ports (e.g. Res 10/10/Port inspection).  Source – [Country code] report XX TRX in [Port Name]: information not reported. |
| N/C | CPC has not provided the mandatory report. | Mandatory report not provided.  Information from other reporting requirement(s) indicate some vessels have conducted TRX in ports (e.g. Res 10/10/Port inspection).  Source – [Country code] report XX TRX in [Port Name]: information not reported. |
| N/A | CPC does not have vessels on the Record of authorised vessels and/or not active. | Does not have vessels on the Record of authorised vessels and/or not active |
|  | Res. 19/06 (7) | List of Authorised carrier vessels | C | Has provided a list of authorised carrier vessels, and all mandatory information provided | Last update [Date] | **2019** |
| P/C | Has provided a list of authorised carrier vessels but some mandatory information missing | Last update [Date]; information not at IOTC standard; missing [GT] |
| N/C | CPC is participating in the ROP but has not provided a list of authorised carrier vessels | No list of Carrier vessels provided |
| N/A | CPC is not participating in the ROP. | Not participating in the IOTC ROP. |
|  | Res. 19/06 (26) | Report on results of investigations on possible infractions | C | CPC has provided a response to all the possible infractions | Possible infractions: XX.  Response received: XX. | **2019** |
| P/C | CPC has not provided a response to all the possible infractions | Possible infractions: XX.  Response received: YY. |
| N/C | CPC has not provided a response to the possible infractions | Possible infractions: XX.  Response received: 0. |
| N/A | CPC is not participating in the ROP. | Not participating in the IOTC ROP. |
|  | Res. 19/06 (Annex 4, p. 13) | ROP fee | C | CPC has paid the ROP fee | Received [Date]. | **2019** |
| P/C | CPC has paid the ROP fee but still outstanding | Received [Date]; has outstanding payment. |
| N/C | CPC has not paid the ROP fee | Has not paid the IOTC ROP fee. |
| N/A | CPC is not participating in the ROP. | Not participating in the IOTC ROP. |
| 1. **Observers** | | | | | | | |
|  | Res. 11/04 (9) | Regional Observer Scheme (No. of vessels monitored and coverage by gear type) | C | CPC has provided the No. of vessels monitored and coverage by gear type | Had [XX] vessels active in 2018; No. of vessels monitored: [XX]; Coverage by gear A [XX] % | **2018** |
| P/C | CPC has provided the No. of vessels monitored and coverage by gear type,  CPC has provided only one of the information No. of vessels monitored and coverage by gear type | Had [XX] vessels active in 2018; Has provided No of vessel monitored [XX] but no coverage provided.  or  Has provided coverage by gear A [XX] % but No of vessel monitored not provided |
| N/C | CPC has not provided the No. of vessels monitored and coverage by gear type, | Had [XX] vessels active in 2017; no information provided. |
| N/A | CPC has no vessel in the Record of authorised vessels or active | No vessel on the Record of authorised vessels or active in 2017. |
|  | Res. 11/04 (2) | 5% Mandatory, at sea (all vessels)2 | C | CPC has provided coverage => 5% | Coverage by gear A [XX] % | **2018** |
| P/C | CPC has provided coverage but below 5% | Coverage by gear A [XX] % |
| N/C | CPC has not provided information on coverage. | No information provided. |
| N/A | CPC has no vessel in the Record of authorised vessels or active | No vessel in the Record of authorised vessels or active in 2017. |
|  | Res. 11/04 (4) | 5 % Phasing in Artisanal landings | C | CPC has provided data on landings and coverage => 5 %. | Coverage by gear A [XX] % | **2018** |
| P/C | CPC has provided data on landings and coverage < 5 %. | Coverage by gear A [XX] % |
| N/C | CPC has not provided data on landing and information on coverage. | No information provided. |
| N/A | CPC is not an IOTC coastal State | Not an IOTC coastal State |
|  | Res. 11/04 (11) | Observer reports | C | CPC has provided observer reports | [XX] Observer reports provided | **2018** |
| P/C | CPC has provided observer report but not according to the template Or with substantial amount of information missing | [XX] Observer reports provided; not at IOTC standard |
| N/C | CPC has not provided observer reports | No observer report provided |
| N/A | CPC has no vessel in the Record of authorised vessels or active | No vessel on the Record of authorised vessels or active in 2017. |
| 1. **Statistical document programme** | | | | | | | |
|  | Res. 01/06 (5) | 1st Semester report | C | CPC import BET and has provided the report | Report received [Date] | **1st semester 2019** |
| P/C | CPC import BET and has provided the report but some information missing | Report received [Date]; Not at IOTC standard |
| N/C | CPC import BET but has not provided the report | Mandatory report not provided. |
| N/A | CPC does not import BET | Does not import BET. |
|  | Res. 01/06 (5) | 2nd Semester report | C | CPC import BET and has provided the report | Report received [Date] | **2nd semester 2018** |
| P/C | CPC import BET and has provided the report but some information missing | Report received [Date]; Not at IOTC standard |
| N/C | CPC import BET but has not provided the report | Mandatory report not provided. |
| N/A | CPC does not import BET. | Does not import BET. |
|  | Res. 01/06 (6) | Annual report | C | CPC has provided the annual report. | Report received [Date] | **2018** |
| P/C | CPC has provided the annual report but some information missing. | Report received [Date]; Not at IOTC standard |
| N/C | CPC has not provided the annual report | Mandatory report not provided. |
| N/A | CPC does not export BET, or does not have authorised or active LSTLV. | No authorised or active LSTLV in 2017 |
|  | Res. 01/06 (2) | Information on authorised institutions and personnel | C | CPC has provided information on authorised institutions and personnel. | Last update [Date] | **2019** |
| P/C | CPC has provided information on authorised institutions and personnel but some information missing. | Last update [Date]; Missing information [XX] |
| N/C | CPC has not provided information on authorised institutions and personnel. | Mandatory information not provided. Exported [XX] kg to [Country code]. |
| N/A | CPC does not export BET, or does not have authorised or active LSTLV. | No authorised or active LSTLV in 2017. |
| 1. **Port inspection** | | | | | | | |
|  | Res. 05/03 (8) | Port inspection programme | C | CPC has provided report on landings of foreign vessels in its ports | Report received [Date] | **2018** |
| P/C | CPC has provided report on landings of foreign vessels in its ports; but some missing information, or aggregated by species or by vessel or gear | Report received [Date]; Missing information [XX] |
| N/C | CPC has not provided report on landings of foreign vessels in its ports | Mandatory report not provided. |
| N/A | CPC is not an IOTC port State or has indicated it does not authorise landings by FFV in its ports | Not an IOTC coastal State/ does not authorise landings by FFV in its ports. |
|  | Res. 16/11 (5.1) | List of designated ports  (p. 5.1) | C | CPC has designated ports | Has designated [XX] ports: [Name] | **Since 2010** |
| P/C | CPC has designated ports, but some information missing | Report received [Date]; Missing information [XX] |
| N/C | CPC is receiving FFV in port, but has not designated ports | Has received FFV in port, but has not designated port |
| N/A | CPC is not an IOTC port State | Not an IOTC port State. |
|  | Res. 16/11 (5.1) | Designated competent Authority  (p. 5.1) | C | Details of CA reported | Report received [Date] | **Since 2010** |
| P/C | Details of CA reported, but some information missing | Report received [Date]; Missing information [XX] |
| N/C | CPC is receiving FFV in port, but has not designated CA | Mandatory information not provided. |
| N/A | CPC is not an IOTC port State | Not an IOTC port State. |
|  | Res. 16/11 (5.1) | Prior notification periods  (p. 5.1) | C | CPC has reported notification period | Report received [Date] | **Since 2010** |
| P/C | CPC has reported notification period, but not for all designated ports. | Missing notification period for port [XX] |
| N/C | CPC is receiving FFV in port, but has not defined notification period | Mandatory information not provided. |
| N/A | CPC is not an IOTC port State | Not an IOTC port State. |
|  | Res. 16/11 (13.1) | Inspection report  (p. 13.1) | C | CPC has provided inspection report | Source - IOTC-2019-CoC16-CQxx:  Call in port: XX ; Foreign vessel inspected: YY ; LAN/TRX monitored: ZZ.  XX reports provided in 2018. | **2019** |
| P/C | CPC has provided inspection report but not at IOTC standard | Source - IOTC-2019-CoC16-CQxx:  Call in port: XX ; Foreign vessel inspected: YY ; LAN/TRX monitored: ZZ.  XX reports provided in 2018; not at IOTC standard (Annex 3 of Res 16/11). |
| N/C | CPC is receiving FFV in port, but has not provided inspection report. | No inspection report provided. |
| N/A | CPC is not an IOTC port State. | Not an IOTC port State. |
|  | Res. 16/11 (10.1) | At least 5% inspection of LAN or TRX  (p. 10.1) | C | CPC has inspected 5% or more of LAN/TRX | Source - IOTC-2019-CoC16-CQxx: =>5% LAN/TRX inspected. | **2019** |
| P/C | CPC has inspected less that 5% of LAN/TRX | Source - IOTC-2019-CoC16-CQxx: <5% LAN/TRX inspected. |
| N/C | CPC has not conducted inspection of LAN/TRX. | No information provided. |
| N/A | CPC is not an IOTC port State. | Not an IOTC port State. |
|  | Res. 16/11 (7.3) | Denial of entry in port  (p. 7.3) | C | CPC has provided information on denial. | Source – IOTC-2019-CoC16-CQxx: has reported [xx] or no foreign vessel was denied entry in port. | **2019** |
| P/C | CPC has denied FFV entry to its port, but did not communicate the denial to the IOTC Secretariat in a timely manner. | Source – IOTC-2019-CoC16-CQxx: has reported [xx] FFV were denied entry into port.its port, but did not communicate the denial to the IOTC Secretariat. |
| N/C | CPC has not provided information on denial. | No information provided. |
| N/A | CPC is not an IOTC port State. | Not an IOTC port State. |